ITCS 41111/5111: Introduction to NLP

N-gram Language Models Neural Language Models Recurrent Neural Networks (RNNs)

Razvan C. Bunescu

Department of Computer Science @ CCI

rbunescu@uncc.edu

Language Modeling

2. (Neural) Language Modeling:

- Predict the next word in a sequence:
 - AI systems use deep (dish? learning? about?, ...)
 - Need to compute $P(w \mid w_{-1}, w_{-2}, ...)$:
 - want P(learning | deep, use) > P(about | deep, use).
- Predict the most likely word in a context:
 - AI systems use deep algorithms. (dish? learning? about?, ...)
 - Need to compute $P(w | w_{-1}, w_{-2}, ..., w_1, w_2, ...)$.
- Language modelling is useful for many tasks in NLP:
 - spell checking.
 - machine translation.
 - speech recognition.

Overview

Slides from the CS224N at Stanford

Today we will:

- Introduce a new NLP task
 - Language Modeling

motivates

- Introduce a new family of neural networks
 - Recurrent Neural Networks (RNNs)

These are two of the most important ideas for the rest of the class!

Language Modeling

 Language Modeling is the task of predicting what word comes next.

• More formally: given a sequence of words $m{x}^{(1)}, m{x}^{(2)}, \dots, m{x}^{(t)}$, compute the probability distribution of the next word $m{x}^{(t+1)}$:

$$P(\boldsymbol{x}^{(t+1)}|\ \boldsymbol{x}^{(t)},\dots,\boldsymbol{x}^{(1)})$$

where $oldsymbol{x}^{(t+1)}$ can be any word in the vocabulary $V = \{oldsymbol{w}_1, ..., oldsymbol{w}_{|V|}\}$

A system that does this is called a Language Model.

Language Modeling

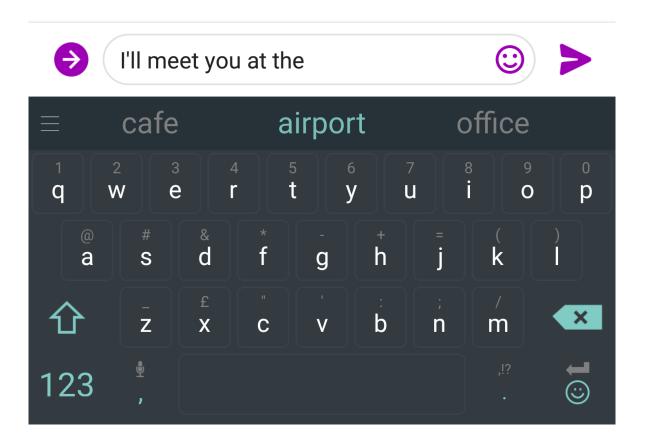
- You can also think of a Language Model as a system that assigns probability to a piece of text.
- For example, if we have some text $x^{(1)}, \dots, x^{(T)}$, then the probability of this text (according to the Language Model) is:

$$P(\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(T)}) = P(\mathbf{x}^{(1)}) \times P(\mathbf{x}^{(2)} | \mathbf{x}^{(1)}) \times \dots \times P(\mathbf{x}^{(T)} | \mathbf{x}^{(T-1)}, \dots, \mathbf{x}^{(1)})$$

$$= \prod_{t=1}^{T} P(\mathbf{x}^{(t)} | \mathbf{x}^{(t-1)}, \dots, \mathbf{x}^{(1)})$$

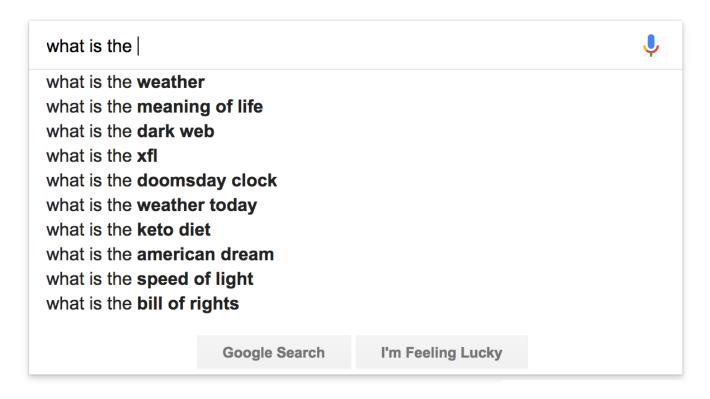
This is what our LM provides

You use Language Models every day!



You use Language Models every day!





n-gram Language Models

the students opened their _____

- Question: How to learn a Language Model?
- Answer (pre- Deep Learning): learn a n-gram Language Model!
- <u>Definition</u>: A n-gram is a chunk of n consecutive words.
 - unigrams: "the", "students", "opened", "their"
 - bigrams: "the students", "students opened", "opened their"
 - trigrams: "the students opened", "students opened their"
 - 4-grams: "the students opened their"
- Idea: Collect statistics about how frequent different n-grams are, and use these to predict next word.

n-gram Language Models

• First we make a simplifying assumption: $x^{(t+1)}$ depends only on the preceding n-1 words.

$$P(oldsymbol{x}^{(t+1)}|oldsymbol{x}^{(t)},\ldots,oldsymbol{x}^{(1)}) = P(oldsymbol{x}^{(t+1)}|oldsymbol{x}^{(t)},\ldots,oldsymbol{x}^{(t-n+2)})$$
 (assumption)

prob of a n-gram
$$= P(\boldsymbol{x}^{(t+1)}, \boldsymbol{x}^{(t)}, \dots, \boldsymbol{x}^{(t-n+2)})$$
 (definition of conditional prob)

- Question: How do we get these n-gram and (n-1)-gram probabilities?
- Answer: By counting them in some large corpus of text!

$$pprox rac{ ext{count}(oldsymbol{x}^{(t+1)},oldsymbol{x}^{(t)},\ldots,oldsymbol{x}^{(t-n+2)})}{ ext{count}(oldsymbol{x}^{(t)},\ldots,oldsymbol{x}^{(t-n+2)})}$$
 (statistical approximation)

n-gram Language Models: Example

Suppose we are learning a 4-gram Language Model.

discard discard the clock, the students opened their_____

condition on this

as the proctor started the clock, the students opened their?

$$P(\boldsymbol{w}|\text{students opened their}) = \frac{\text{count}(\text{students opened their }\boldsymbol{w})}{\text{count}(\text{students opened their})}$$

For example, suppose that in the corpus:

- "students opened their" occurred 1000 times
- "students opened their books" occurred 400 times
 - \rightarrow P(books | students opened their) = 0.4
- "students opened their exams" occurred 100 times
 - → P(exams | students opened their) = 0.1

Should we have discarded the "proctor" context?

Sparsity Problems with n-gram Language Models



Problem: What if "students opened their w" never occurred in data? Then w has probability 0!

(Partial) Solution: Add small δ to the count for every $\boldsymbol{w} \in V$. This is called *smoothing*.

 $P(\boldsymbol{w}|\text{students opened their}) =$

count(students opened their <math>w)

count(students opened their)

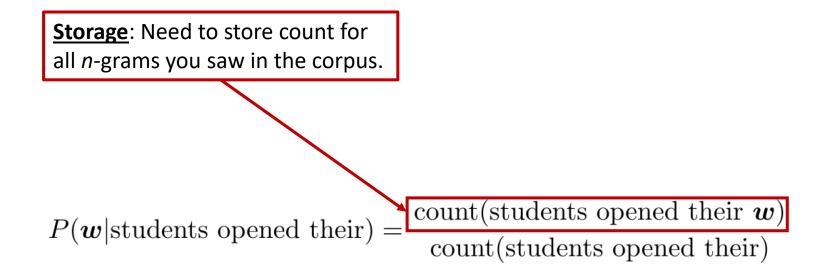
Sparsity Problem 2

Problem: What if "students opened their" never occurred in data? Then we can't calculate probability for any w!

(Partial) Solution: Just condition on "opened their" instead. This is called backoff.

Note: Increasing *n* makes sparsity problems *worse*. Typically we can't have *n* bigger than 5.

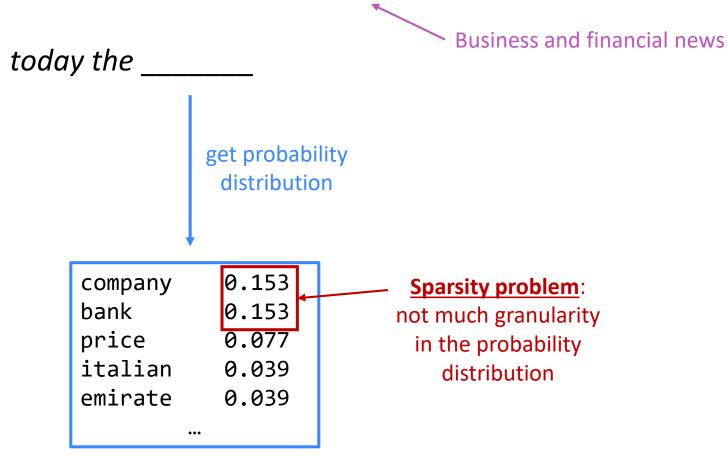
Storage Problems with n-gram Language Models



Increasing *n* or increasing corpus increases model size!

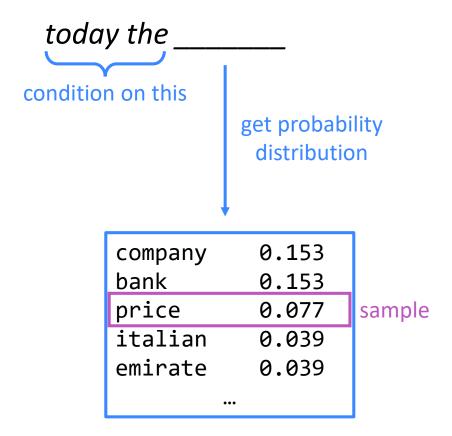
n-gram Language Models in practice

You can build a simple trigram Language Model over a
 1.7 million word corpus (Reuters) in a few seconds on your laptop*

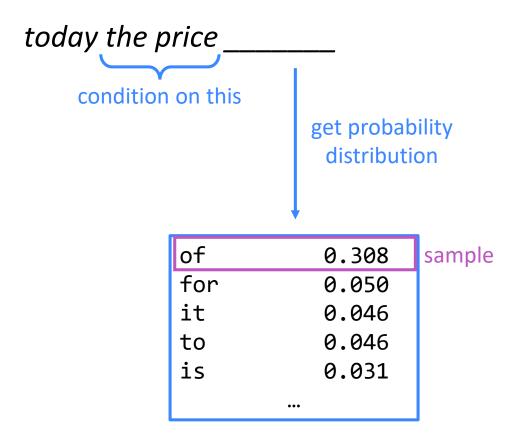


Otherwise, seems reasonable!

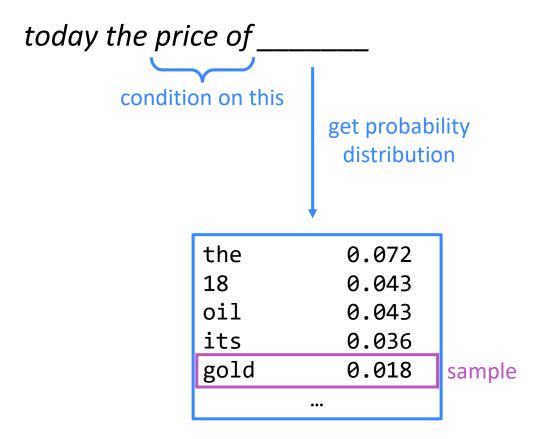
You can also use a Language Model to generate text.



You can also use a Language Model to generate text.



You can also use a Language Model to generate text.



You can also use a Language Model to generate text.

today the price of gold _____

You can also use a Language Model to generate text.

today the price of gold per ton, while production of shoe lasts and shoe industry, the bank intervened just after it considered and rejected an imf demand to rebuild depleted european stocks, sept 30 end primary 76 cts a share.

Surprisingly grammatical!

...but **incoherent.** We need to consider more than three words at a time if we want to model language well.

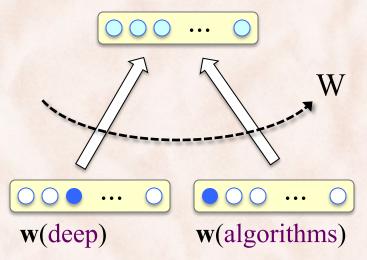
But increasing *n* worsens sparsity problem, and increases model size...

(Neural) Language Modeling with Sparse Word Representations

2. (N)LM with (Naive) Softmax Regression:

AI systems use deep algorithms

 $P(w | deep, algorithms) \le for each word w in V$



- Need $|W| = 2 \times |V| \times |V|$ parameters!

Sparse vs. Dense Representations of Words

• Sparse representations:

- Each word w is a sparse vector $\mathbf{w} \in \{0,1\}^{|V|}$ or $\mathbb{R}^{|V|}$.
 - Using words as features leads to large number of parameters!
 - $sim(ocean, water) = 0 \Rightarrow no meaning \Rightarrow low generalization!$

• Dense representations:

- Each word w is a dense vector $\mathbf{w} \in \mathbb{R}^k$, where $k \ll |V|$.
- Can use unsupervised learning:
 - Use Harris' Distributional Hypothesis [Word, 1954]
 - words that appear in the same contexts tend to have similar meanings.
 - sim(ocean, water) > sim(ocean, forest) > 0

Using Context to Build Word Representations

Distributional Semantics idea:

- The meaning of a word is determined by the words that appear nearby, i.e. its context.
 - "You shall know a word by the company it keeps" (Firth 1957).
 - One of the most successful ideas of modern statistical NLP!
- Given an *occurrence* of word w, its *context* = the set of words that appear within a fixed-size window to the left and to the right.
 - Use all the contexts of word w to build its representation:

Enculturation is the process by which people learn values and behaviors that are ...

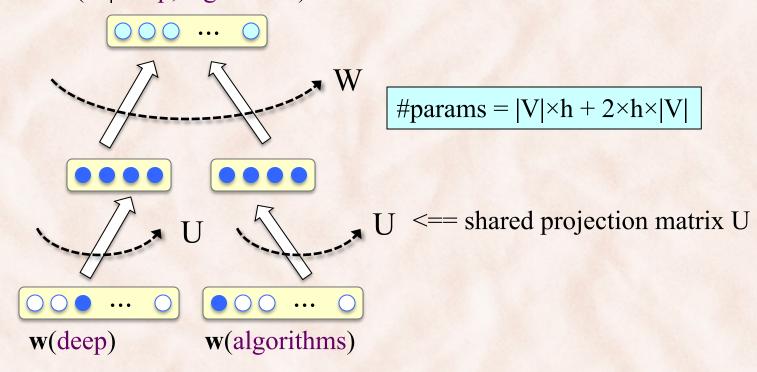
Reading directions helps a player learn the patterns that solve the Rubik's ...

some people may be motivated to learn how to play a real instrument ...

(Neural) Language Modeling with Dense Word Representations

Softmax on top of a hidden layer of size h per word:

 $P(w | deep, algorithms) \le for each word w in V$



Neural Language Modeling with Dense Word Representations

- Neural Language Modeling:
 - Associate each word w with its distributed representation Uw.
 - w is the sparse (one-hot) representation of word w.
 - Uw is the *dense representation* of word w:
 - i.e. word representation, i.e. word embedding,
 i.e. distributed representation.
 - U is the projection or embedding matrix:
 - its columns are the word embeddings.
 - Simultaneously learn the word embeddings (U) and the softmax parameters (W).
 - After training on large text corpus, throw away W, keep only U:
 - Can be *tied* for even better performance [Press & Wolf, ACL'17].

Neural Languange Models for Learning Word Embeddings

- Softmax training of NLMs is expensive:
 - Maximum Likelihood => minimize cross-entropy.
 - Need to compute the normalization constant for each training example i.e. for each word instance in the corpus:

$$E_D(W) = -\ln \prod_{t=1}^{T} p(w_t \mid \mathbf{h}_t) = -\sum_{t=1}^{T} \ln \frac{\exp(W[w_t :] \times \mathbf{h}_t)}{Z(w_t)}$$

$$Z(w_t) = \sum_{v \in V} \exp(W[v :] \times \mathbf{h}_t)$$

Use Pairwise Ranking approach instead.

Neural Languange Models for Learning Word Embeddings

- Pairwise Ranking approach:
 - Train such that $p(w_t | \mathbf{h}_t) > p(w | \mathbf{h}_t)$ i.e. $W[w_t:] \times \mathbf{h}_t > W[w:] \times \mathbf{h}_t$
 - w is sampled at random from V.
 - give a higher score to the actual word w_t than to random words.

$$W, U = \operatorname{argmin} \sum_{t=1}^{T} \sum_{w \in V} \max \left\{ 0, 1 - W[w_t:] \times \mathbf{h}_t + W[w:] \times \mathbf{h}_t \right\}$$



minimize
$$J(U,W) = \sum_{t=1}^{I} \sum_{w \in V} \xi_{t,w} + R(U) + R(W)$$

subject to:
$$W[w_t:] \times \mathbf{h}_t - W[w:] \times \mathbf{h}_t \ge 1 - \xi_{t,w}$$

Neural Languange Models for Learning Word Embeddings

- Pairwise Ranking approach [Collobert et al., JMLR'11]:
 - Train using SGD on the ranking criterion.
 - Sample "negative" words from V for each w_t .
- Evaluation of learned embeddings:
 - Word similarity questions:
 - given seed word w, find word(s) v with most similar embedding:

```
\underset{v \in V}{\operatorname{arg\,max}\, \cos(U\mathbf{w}, U\mathbf{v})}
```

- Analogy questions [Mikolov et al., NIPS'13].

Evaluation of Word Embeddings

[Collobert et al., JMLR'11]

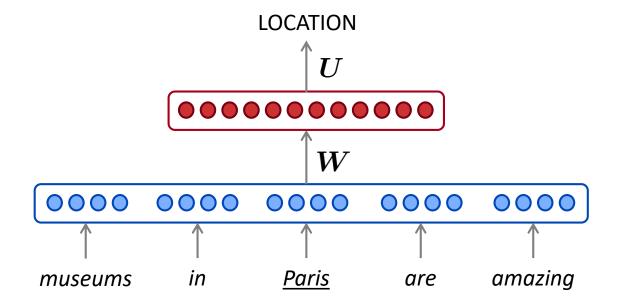
COLLOBERT, WESTON, BOTTOU, KARLEN, KAVUKCUOGLU AND KUKSA

FRANCE	JESUS	XBOX	REDDISH	SCRATCHED	MEGABITS
454	1973	6909	11724	29869	87025
AUSTRIA	GOD	AMIGA	GREENISH	NAILED	OCTETS
BELGIUM	SATI	PLAYSTATION	BLUISH	SMASHED	MB/S
GERMANY	CHRIST	MSX	PINKISH	PUNCHED	BIT/S
ITALY	SATAN	IPOD	PURPLISH	POPPED	BAUD
GREECE	KALI	SEGA	BROWNISH	CRIMPED	CARATS
SWEDEN	INDRA	PSNUMBER	GREYISH	SCRAPED	KBIT/S
NORWAY	VISHNU	HD	GRAYISH	SCREWED	MEGAHERTZ
EUROPE	ANANDA	DREAMCAST	WHITISH	SECTIONED	MEGAPIXELS
HUNGARY	PARVATI	GEFORCE	SILVERY	SLASHED	GBIT/S
SWITZERLAND	GRACE	CAPCOM	YELLOWISH	RIPPED	AMPERES

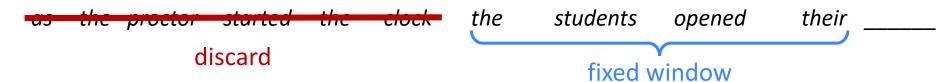
Table 7: Word embeddings in the word lookup table of the language model neural network LM1 trained with a dictionary of size 100,000. For each column the queried word is followed by its index in the dictionary (higher means more rare) and its 10 nearest neighbors (using

How to build a neural Language Model?

- Recall the Language Modeling task:
 - Input: sequence of words $oldsymbol{x}^{(1)}, oldsymbol{x}^{(2)}, \dots, oldsymbol{x}^{(t)}$
 - Output: prob dist of the next word $P(\boldsymbol{x}^{(t+1)}|\ \boldsymbol{x}^{(t)},\dots,\boldsymbol{x}^{(1)})$
- How about a window-based neural model?
 - We can apply this to Named Entity Recognition:



A fixed-window neural Language Model



A fixed-window neural Language Model

output distribution

$$\hat{\boldsymbol{y}} = \operatorname{softmax}(\boldsymbol{U}\boldsymbol{h} + \boldsymbol{b}_2) \in \mathbb{R}^{|V|}$$

hidden layer

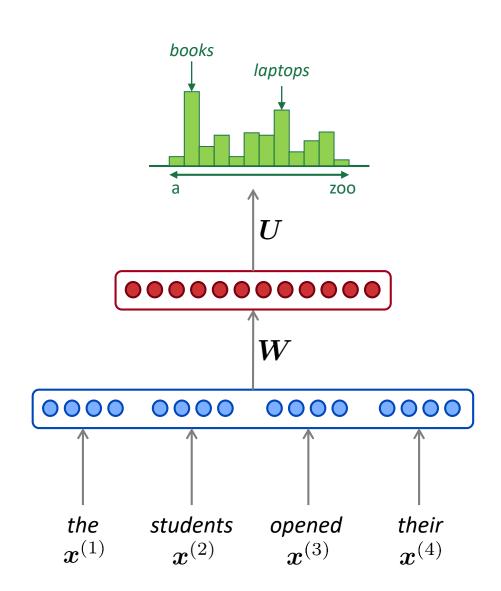
$$\boldsymbol{h} = f(\boldsymbol{W}\boldsymbol{e} + \boldsymbol{b}_1)$$

concatenated word embeddings

$$e = [e^{(1)}; e^{(2)}; e^{(3)}; e^{(4)}]$$

words / one-hot vectors

$$m{x}^{(1)}, m{x}^{(2)}, m{x}^{(3)}, m{x}^{(4)}$$



A fixed-window neural Language Model

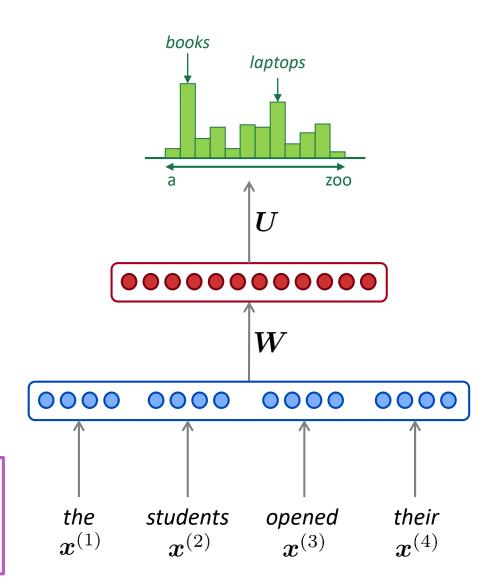
Improvements over *n*-gram LM:

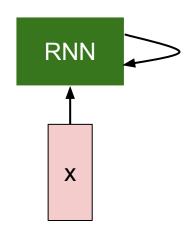
- No sparsity problem
- Don't need to store all observed n-grams

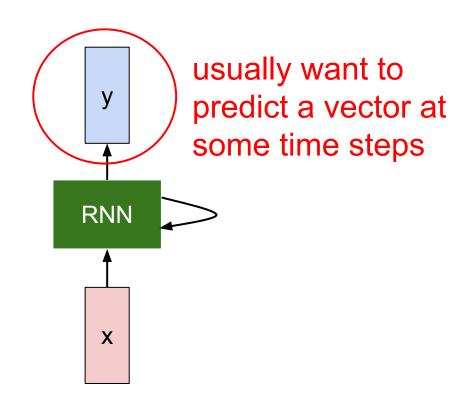
Remaining **problems**:

- Fixed window is too small
- Enlarging window enlarges W
- Window can never be large enough!
- $x^{(1)}$ and $x^{(2)}$ are multiplied by completely different weights in W. No symmetry in how the inputs are processed.

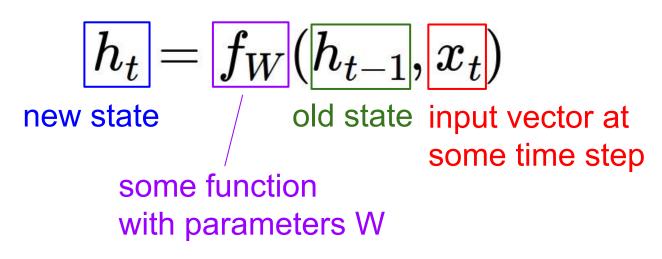
We need a neural architecture that can process any length input

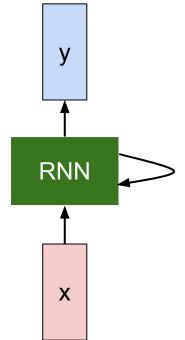






We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

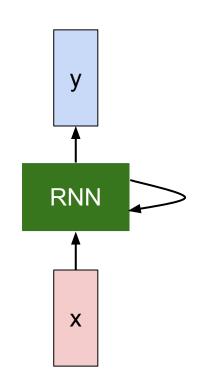




We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

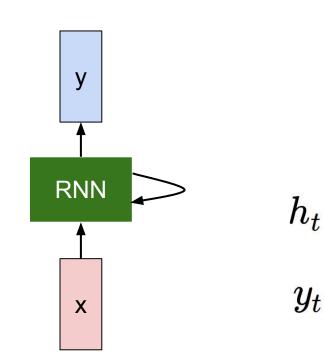
$$h_t = f_W(h_{t-1}, x_t)$$

Notice: the same function and the same set of parameters are used at every time step.

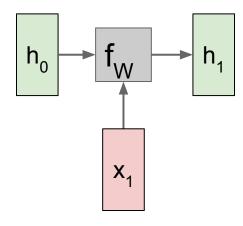


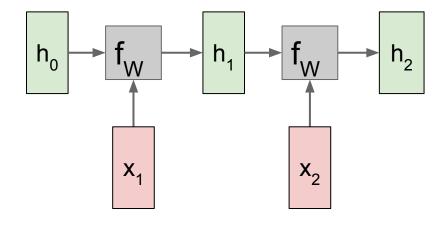
(Vanilla) Recurrent Neural Network

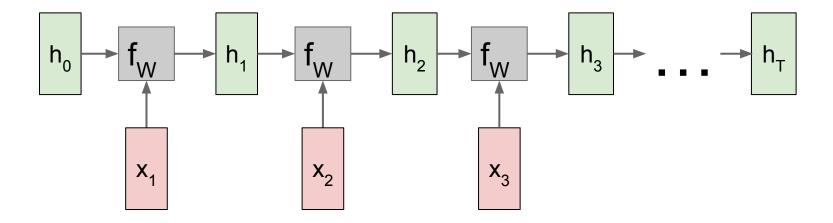
The state consists of a single "hidden" vector **h**:



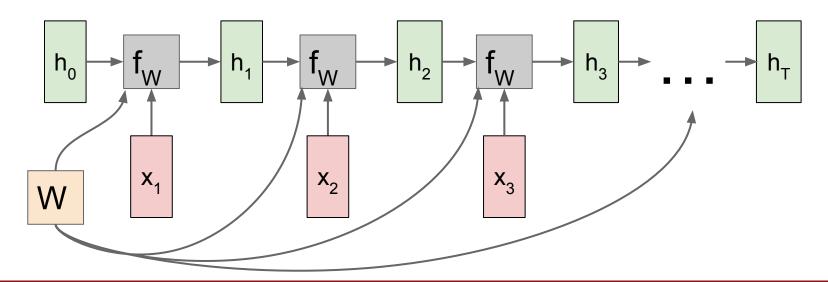
$$h_t = f_W(h_{t-1}, x_t)$$
 \downarrow $h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$ $y_t = W_{hy}h_t$



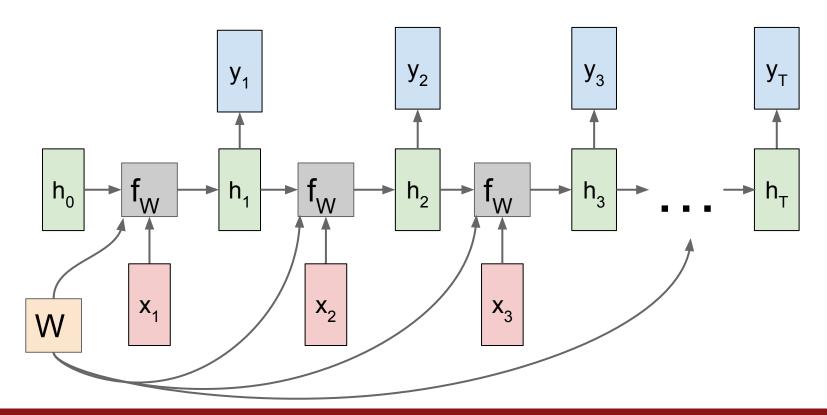




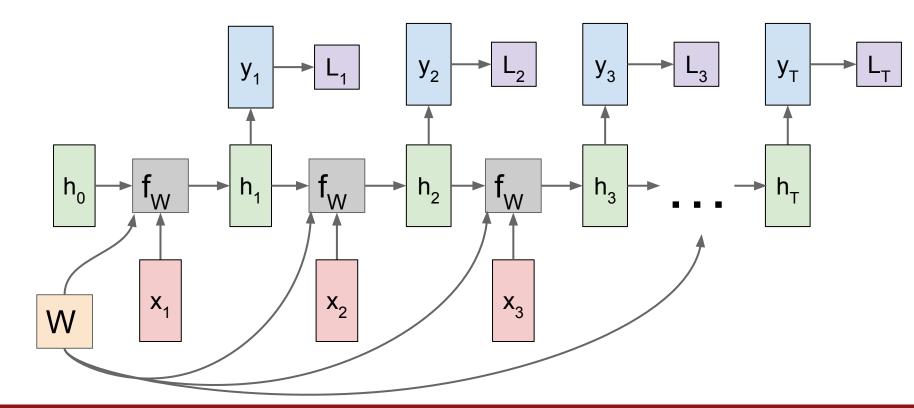
Re-use the same weight matrix at every time-step

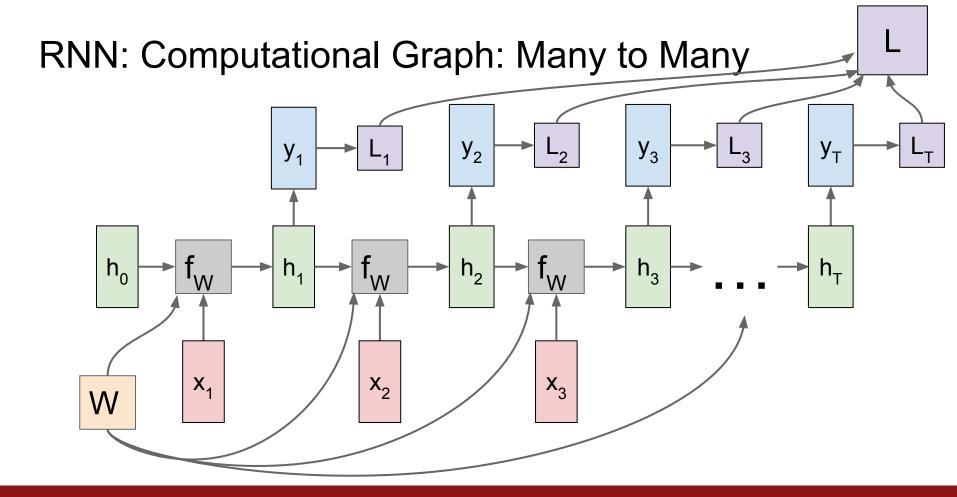


RNN: Computational Graph: Many to Many

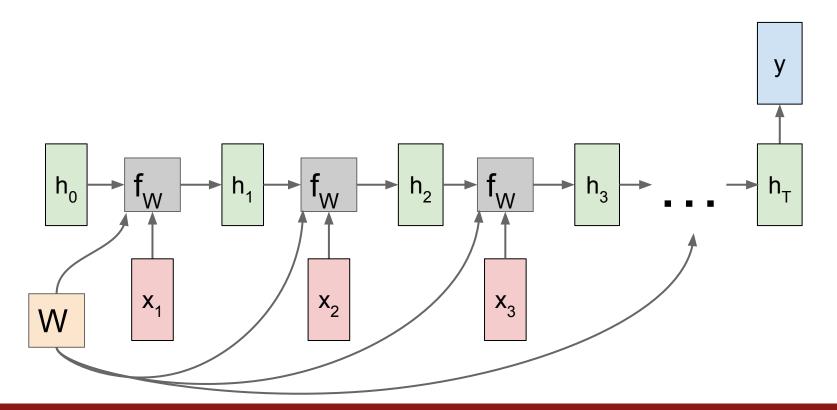


RNN: Computational Graph: Many to Many

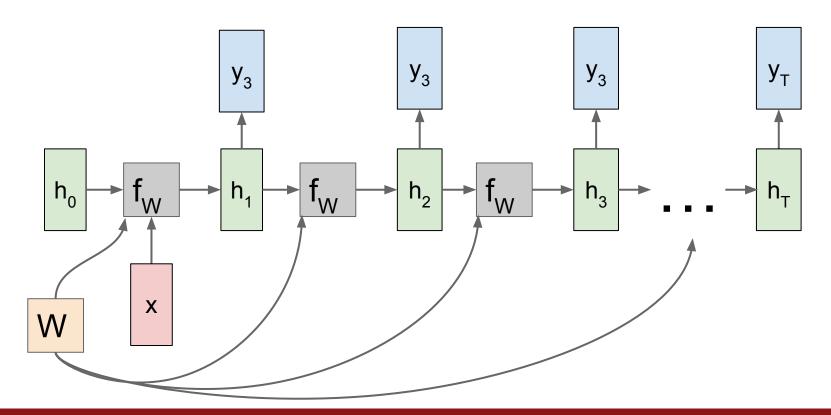




RNN: Computational Graph: Many to One

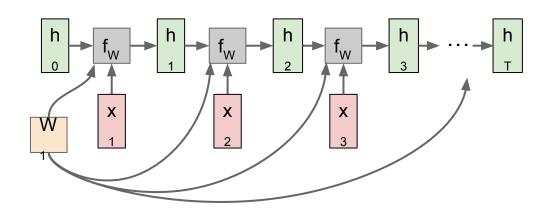


RNN: Computational Graph: One to Many



Sequence to Sequence: Many-to-one + one-to-many

Many to one: Encode input sequence in a single vector



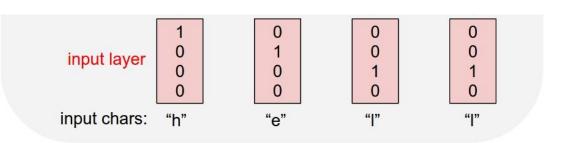
Sequence to Sequence: Many-to-one + one-to-many

sequence from single input vector Many to one: Encode input sequence in a single vector X

One to many: Produce output

Vocabulary: [h,e,l,o]

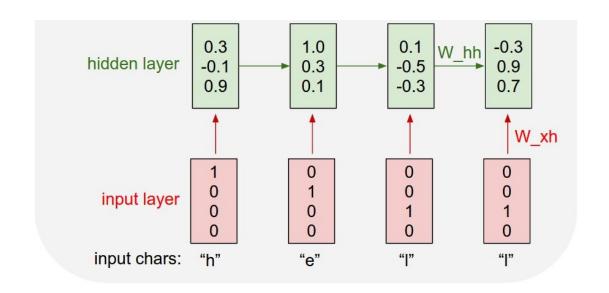
Example training sequence: "hello"



$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

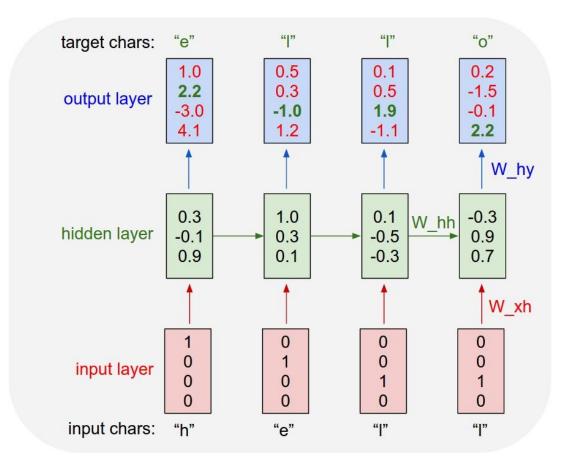
Vocabulary: [h,e,l,o]

Example training sequence: "hello"

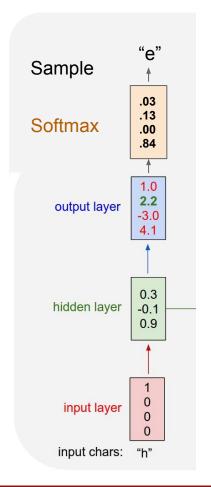


Vocabulary: [h,e,l,o]

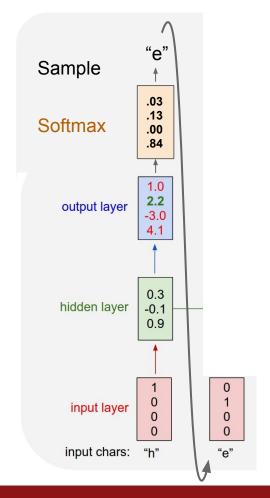
Example training sequence: "hello"



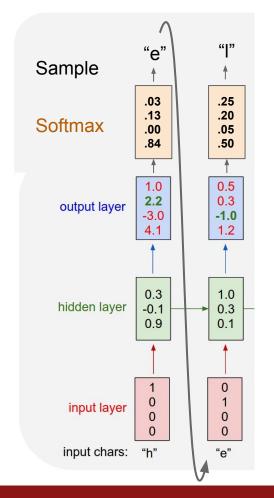
Vocabulary: [h,e,l,o]



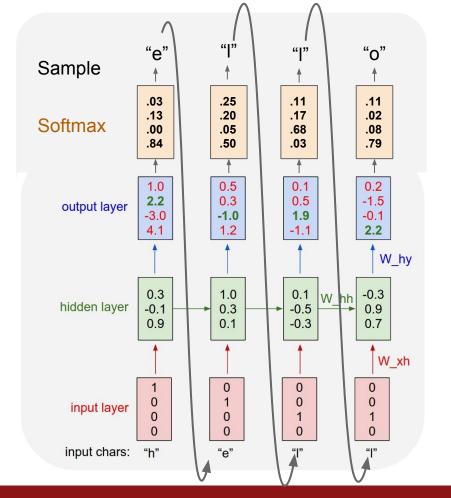
Vocabulary: [h,e,l,o]



Vocabulary: [h,e,l,o]

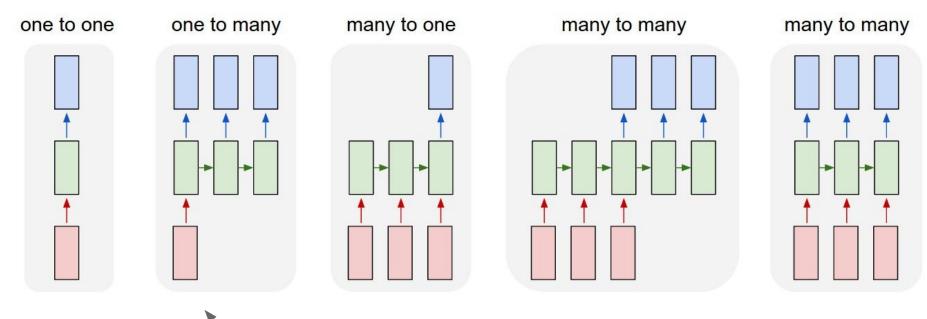


Vocabulary: [h,e,l,o]

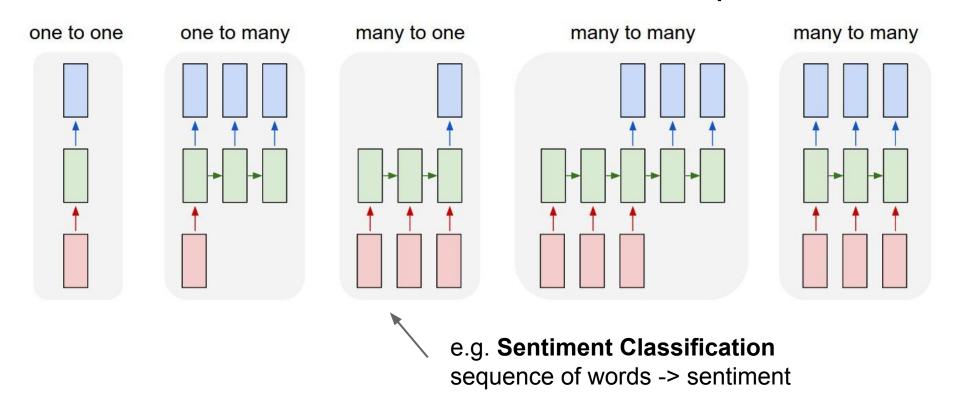


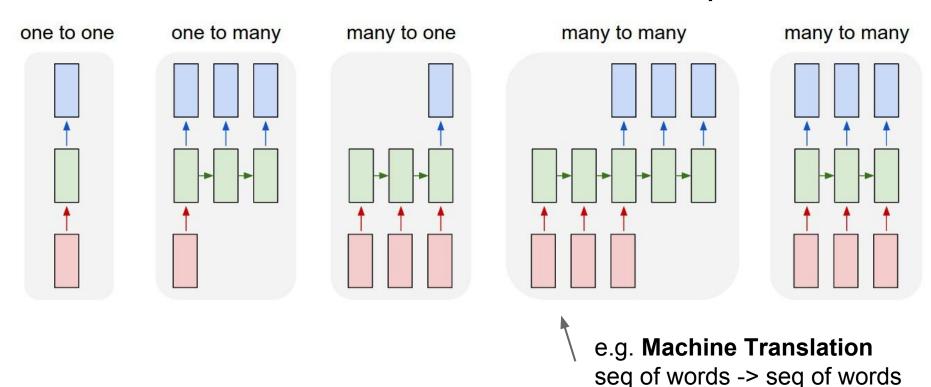
"Vanilla" Neural Network

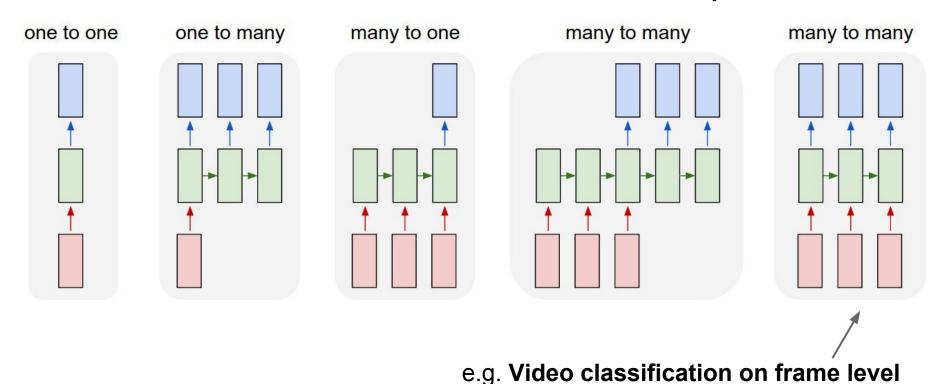
one to one **Vanilla Neural Networks**



e.g. **Image Captioning** image -> sequence of words





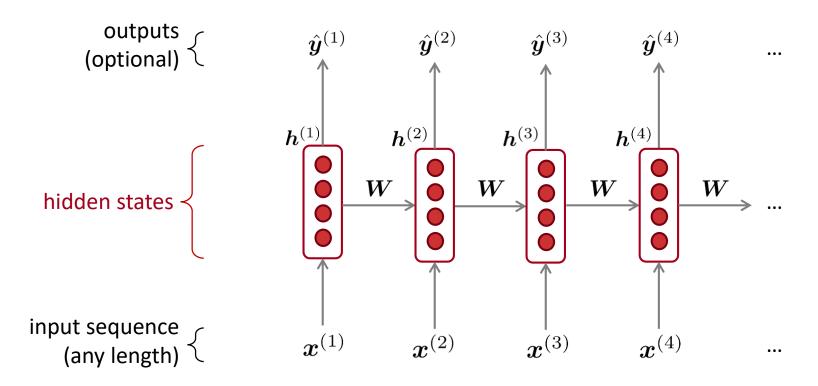


Recurrent Neural Networks (RNN)

A family of neural architectures

Core idea: Apply the same weights $oldsymbol{W}$ repeatedly

Slides from the CS224N at Stanford



A RNN Language Model

output distribution

$$\hat{m{y}}^{(t)} = \operatorname{softmax}\left(m{U}m{h}^{(t)} + m{b}_2\right) \in \mathbb{R}^{|V|}$$

hidden states

$$\boldsymbol{h}^{(t)} = \sigma \left(\boldsymbol{W}_h \boldsymbol{h}^{(t-1)} + \boldsymbol{W}_e \boldsymbol{e}^{(t)} + \boldsymbol{b}_1 \right)$$

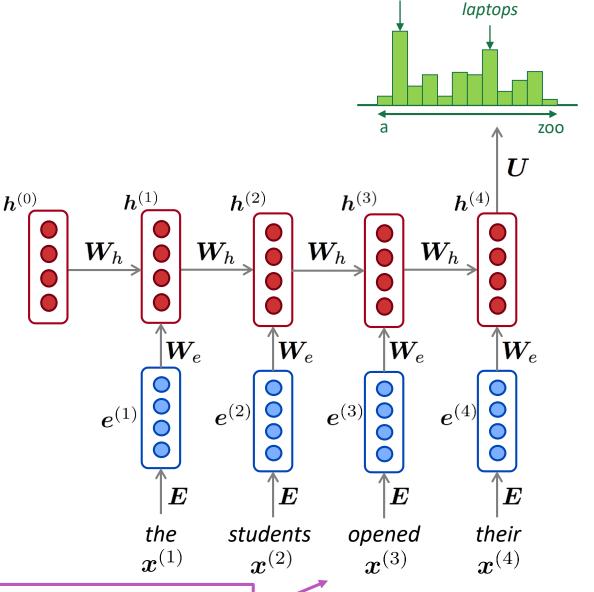
 $m{h}^{(0)}$ is the initial hidden state

word embeddings

$$\boldsymbol{e}^{(t)} = \boldsymbol{E} \boldsymbol{x}^{(t)}$$

words / one-hot vectors

$$\boldsymbol{x}^{(t)} \in \mathbb{R}^{|V|}$$



 $\hat{\boldsymbol{y}}^{(4)} = P(\boldsymbol{x}^{(5)}|\text{the students opened their})$

books

Note: this input sequence could be much longer, but this slide doesn't have space!

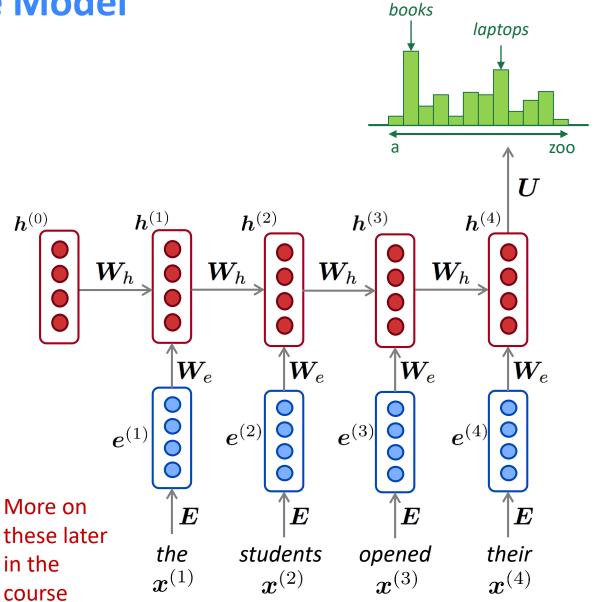
A RNN Language Model

RNN Advantages:

- Can process any length input
- Computation for step t can (in theory) use information from many steps back
- Model size doesn't increase for longer input
- Same weights applied on every timestep, so there is symmetry in how inputs are processed.

RNN Disadvantages:

- Recurrent computation is slow
- In practice, difficult to access information from many steps back



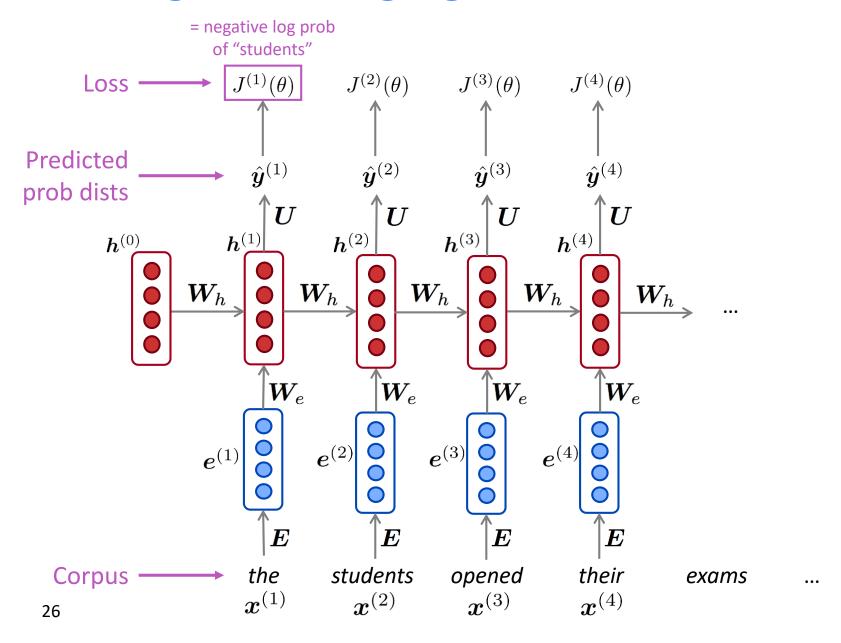
 $\hat{\mathbf{y}}^{(4)} = P(\mathbf{x}^{(5)}|\text{the students opened their})$

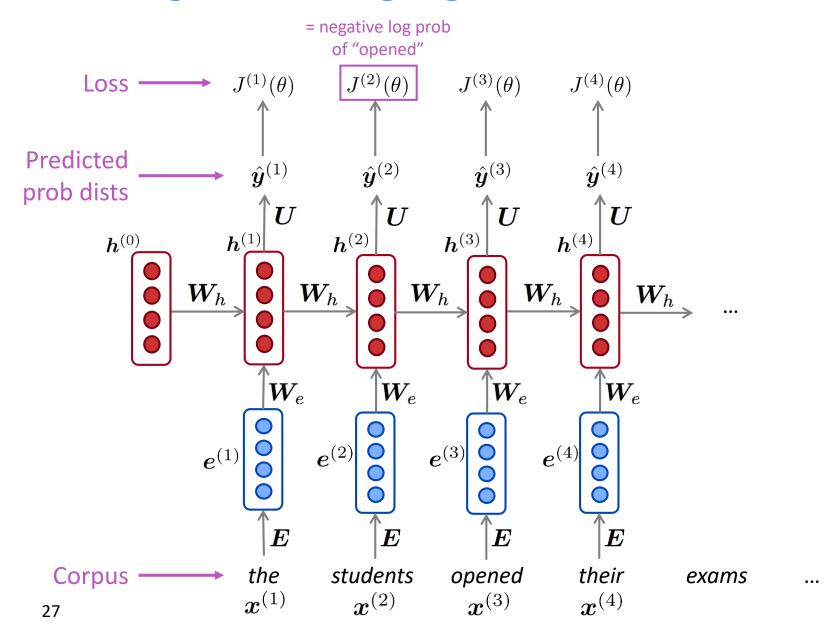
- Get a big corpus of text which is a sequence of words $x^{(1)}, \dots, x^{(T)}$
- Feed into RNN-LM; compute output distribution $\hat{m{y}}^{(t)}$ for *every step t.*
 - i.e. predict probability dist of every word, given words so far
- Loss function on step t is cross-entropy between predicted probability distribution $\hat{y}^{(t)}$, and the true next word $y^{(t)}$ (one-hot for $x^{(t+1)}$):

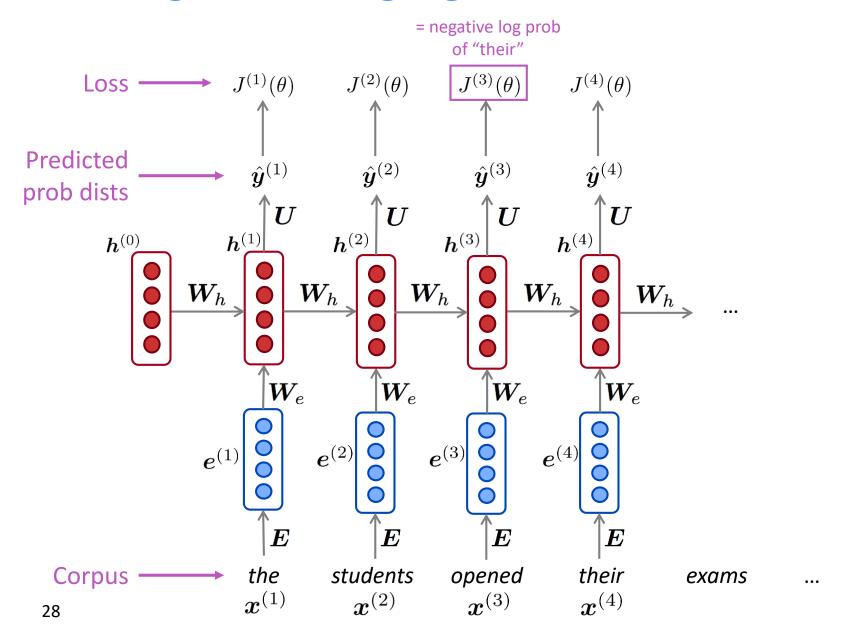
$$J^{(t)}(\theta) = CE(\boldsymbol{y}^{(t)}, \hat{\boldsymbol{y}}^{(t)}) = -\sum_{w \in V} \boldsymbol{y}_w^{(t)} \log \hat{\boldsymbol{y}}_w^{(t)} = -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$

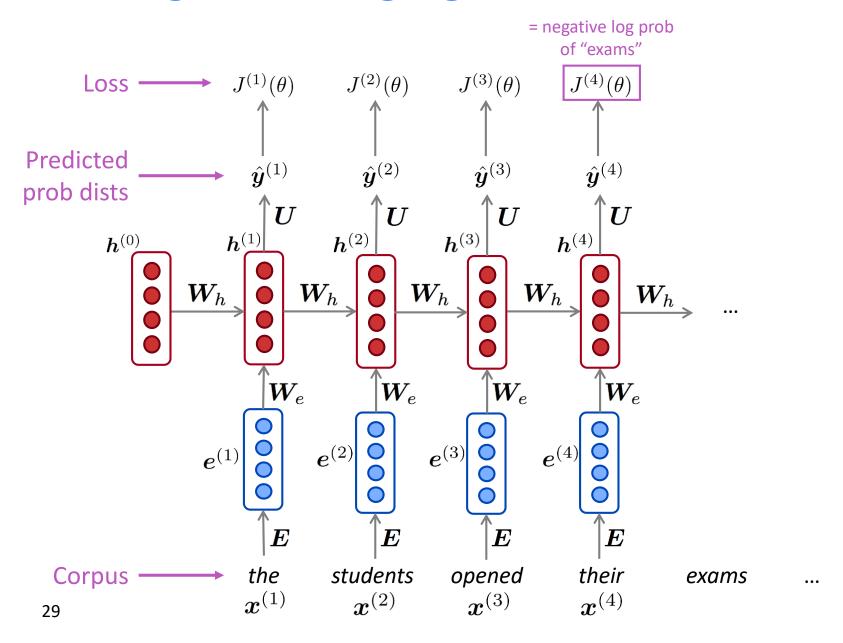
Average this to get overall loss for entire training set:

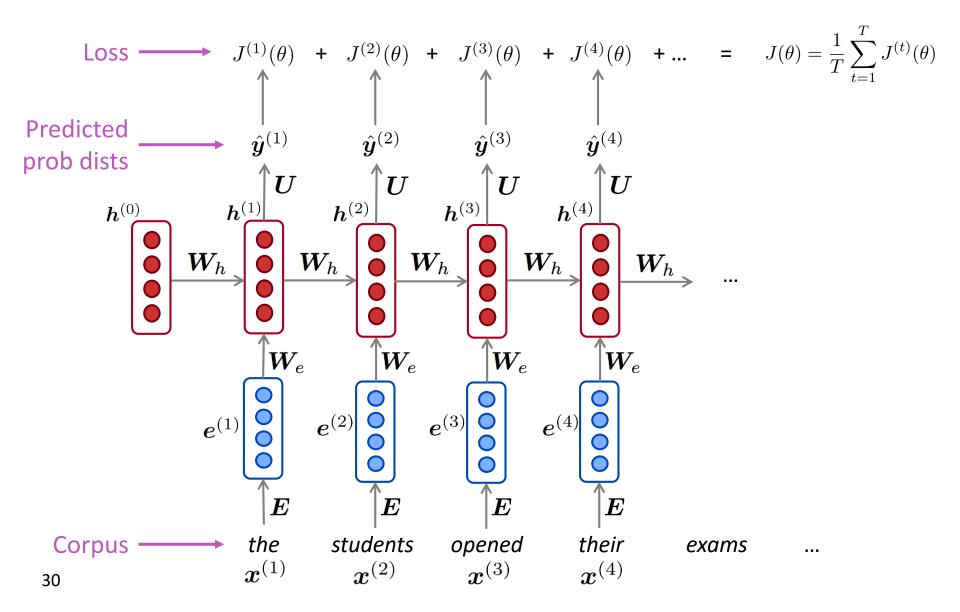
$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta) = \frac{1}{T} \sum_{t=1}^{T} -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$









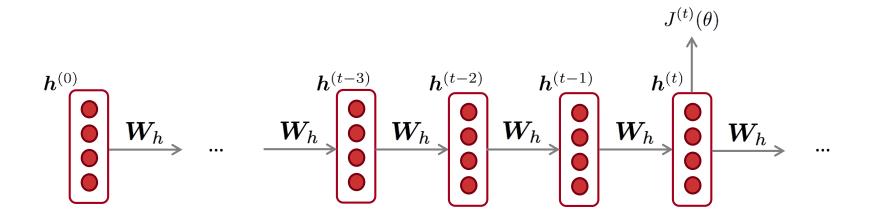


• However: Computing loss and gradients across entire corpus $\boldsymbol{x}^{(1)},\dots,\boldsymbol{x}^{(T)}$ is too expensive!

$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta)$$

- In practice, consider $x^{(1)}, \dots, x^{(T)}$ as a sentence (or a document)
- Recall: Stochastic Gradient Descent allows us to compute loss and gradients for small chunk of data, and update.
- Compute loss $J(\theta)$ for a sentence (actually a batch of sentences), compute gradients and update weights. Repeat.

Backpropagation for RNNs



Question: What's the derivative of $J^{(t)}(\theta)$ w.r.t. the repeated weight matrix $m{W}_h$?

Answer:
$$\frac{\partial J^{(t)}}{\partial W_h} = \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial W_h} \Big|_{(i)}$$

"The gradient w.r.t. a repeated weight is the sum of the gradient w.r.t. each time it appears"

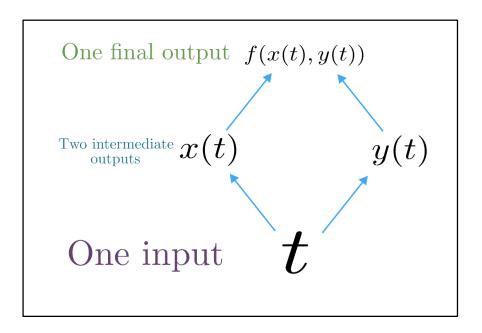
Why?

Multivariable Chain Rule

ullet Given a multivariable function f(x,y), and two single variable functions x(t) and y(t), here's what the multivariable chain rule says:

$$\left(rac{d}{dt} f(oldsymbol{x}(t), oldsymbol{y}(t))
ight) = rac{\partial f}{\partial oldsymbol{x}} rac{doldsymbol{x}}{dt} + rac{\partial f}{\partial oldsymbol{y}} rac{doldsymbol{y}}{dt}
ight)$$

Derivative of composition function



Source:

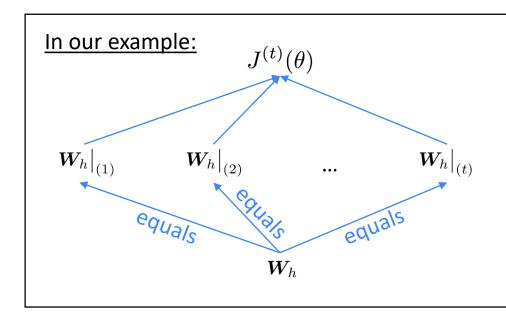
https://www.khanacademy.org/math/multivariable-calculus/multivariable-derivatives/differentiating-vector-valued-functions/a/multivariable-chain-rule-simple-version

Backpropagation for RNNs: Proof sketch

ullet Given a multivariable function f(x,y), and two single variable functions x(t) and y(t), here's what the multivariable chain rule says:

$$\left(rac{d}{dt} \, f(oldsymbol{x}(t), oldsymbol{y}(t))
ight) = rac{\partial f}{\partial oldsymbol{x}} \, rac{doldsymbol{x}}{dt} + rac{\partial f}{\partial oldsymbol{y}} \, rac{doldsymbol{y}}{dt}
ight)$$

Derivative of composition function



Apply the multivariable chain rule:

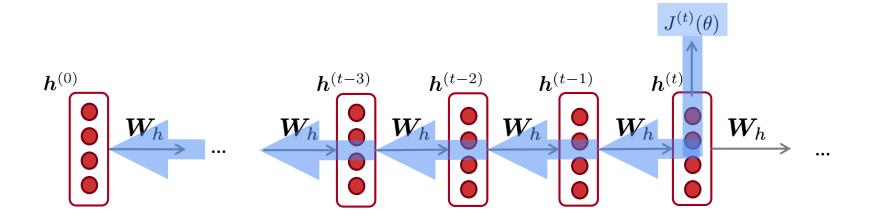
$$\left\| \overline{m{W}_h} \right\|_{(t)} = \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial m{W}_h} \Big|_{(i)} \frac{\partial m{W}_h \Big|_{(i)}}{\partial m{W}_h}$$

$$=\sum_{i=1}^t rac{\partial J^{(t)}}{\partial oldsymbol{W}_h}igg|_{(i)}$$

Source:

https://www.khanacademy.org/math/multivariable-calculus/multivariable-derivatives/differentiating-vector-valued-functions/a/multivariable-chain-rule-simple-version

Backpropagation for RNNs

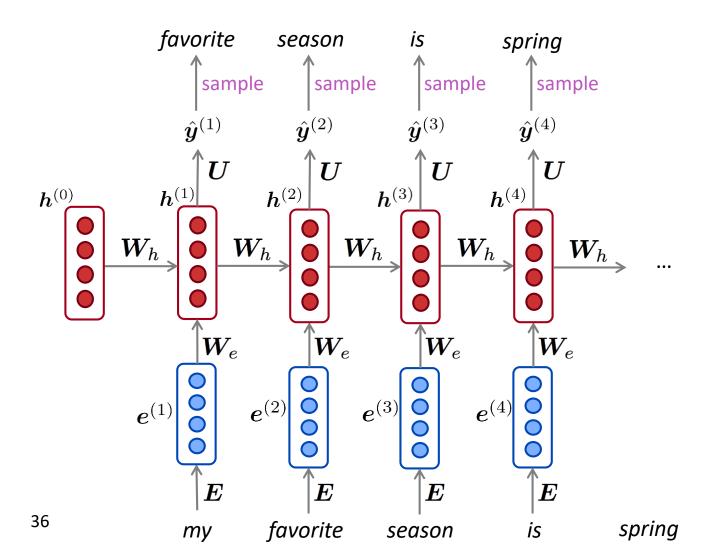


$$\frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}} = \left[\sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W_h}} \Big|_{(i)} \right]$$

Question: How do we calculate this?

Answer: Backpropagate over timesteps *i=t,...,*0, summing gradients as you go. This algorithm is called "backpropagation through time"

Just like a n-gram Language Model, you can use a RNN Language Model to generate text by repeated sampling. Sampled output is next step's input.



- Let's have some fun!
- You can train a RNN-LM on any kind of text, then generate text in that style.
- RNN-LM trained on Obama speeches:

The United States will step up to the cost of a new challenges of the American people that will share the fact that we created the problem. They were attacked and so that they have to say that all the task of the final days of war that I will not be able to get this done.

- Let's have some fun!
- You can train a RNN-LM on any kind of text, then generate text in that style.
- RNN-LM trained on Harry Potter:

"Sorry," Harry shouted, panicking—"I'll leave those brooms in London, are they?"

"No idea," said Nearly Headless Nick, casting low close by Cedric, carrying the last bit of treacle Charms, from Harry's shoulder, and to answer him the common room perched upon it, four arms held a shining knob from when the spider hadn't felt it seemed. He reached the teams too.

Let's have some fun!

You can train a RNN-LM on any kind of text, then generate text in that style.

RNN-LM trained on recipes:

Title: CHOCOLATE RANCH BARBECUE

Categories: Game, Casseroles, Cookies, Cookies

Yield: 6 Servings

2 tb Parmesan cheese -- chopped

1 c Coconut milk

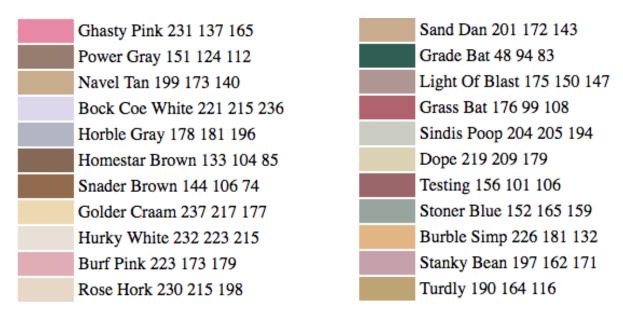
3 Eggs, beaten

Place each pasta over layers of lumps. Shape mixture into the moderate oven and simmer until firm. Serve hot in bodied fresh, mustard, orange and cheese.

Combine the cheese and salt together the dough in a large skillet; add the ingredients and stir in the chocolate and pepper.

Source: https://gist.github.com/nylki/1efbaa36635956d35bcc

- Let's have some fun!
- You can train a RNN-LM on any kind of text, then generate text in that style.
- RNN-LM trained on paint color names:



This is an example of a character-level RNN-LM (predicts what character comes next)

Evaluating Language Models

The standard evaluation metric for Language Models is perplexity.

$$\text{perplexity} = \prod_{t=1}^T \left(\frac{1}{P_{\text{LM}}(\boldsymbol{x}^{(t+1)}|\ \boldsymbol{x}^{(t)},\dots,\boldsymbol{x}^{(1)})} \right)^{1/T}$$
 Normalized by number of words

Inverse probability of corpus, according to Language Model

• This is equal to the exponential of the cross-entropy loss J(heta) :

$$= \prod_{t=1}^{T} \left(\frac{1}{\hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}} \right)^{1/T} = \exp \left(\frac{1}{T} \sum_{t=1}^{T} -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)} \right) = \exp(J(\theta))$$

Lower perplexity is better!

RNNs have greatly improved perplexity

	Model	Perplexity
-gram model ——	→ Interpolated Kneser-Ney 5-gram (Chelba et al., 2013)	67.6
Increasingly complex RNNs	RNN-1024 + MaxEnt 9-gram (Chelba et al., 2013)	51.3
	RNN-2048 + BlackOut sampling (Ji et al., 2015)	68.3
	Sparse Non-negative Matrix factorization (Shazeer et al., 2015)	52.9
	LSTM-2048 (Jozefowicz et al., 2016)	43.7
	2-layer LSTM-8192 (Jozefowicz et al., 2016)	30
	Ours small (LSTM-2048)	43.9
	Ours large (2-layer LSTM-2048)	39.8

Perplexity improves (lower is better)

Source: https://research.fb.com/building-an-efficient-neural-language-model-over-a-billion-words/

Why should we care about Language Modeling?

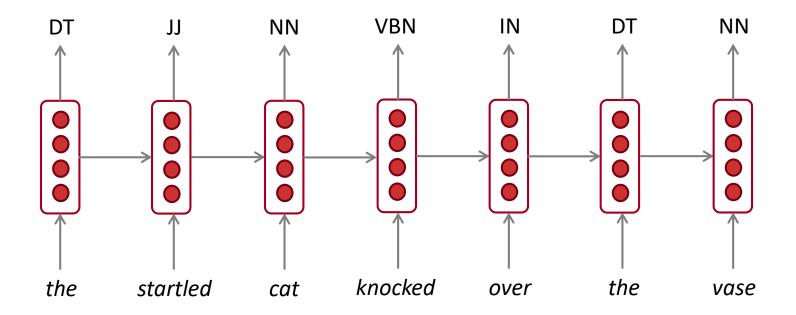
- Language Modeling is a benchmark task that helps us measure our progress on understanding language
- Language Modeling is a subcomponent of many NLP tasks, especially those involving generating text or estimating the probability of text:
 - Predictive typing
 - Speech recognition
 - Handwriting recognition
 - Spelling/grammar correction
 - Authorship identification
 - Machine translation
 - Summarization
 - Dialogue
 - etc.

Recap

- Language Model: A system that predicts the next word
- <u>Recurrent Neural Network</u>: A family of neural networks that:
 - Take sequential input of any length
 - Apply the same weights on each step
 - Can optionally produce output on each step
- Recurrent Neural Network ≠ Language Model
- We've shown that RNNs are a great way to build a LM.
- But RNNs are useful for much more!

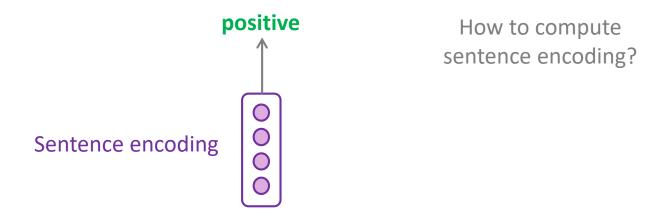
RNNs can be used for tagging

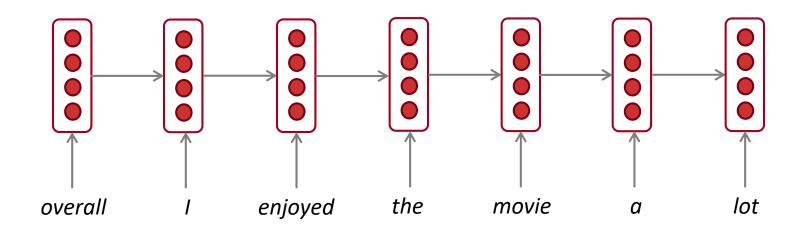
e.g. part-of-speech tagging, named entity recognition



RNNs can be used for sentence classification

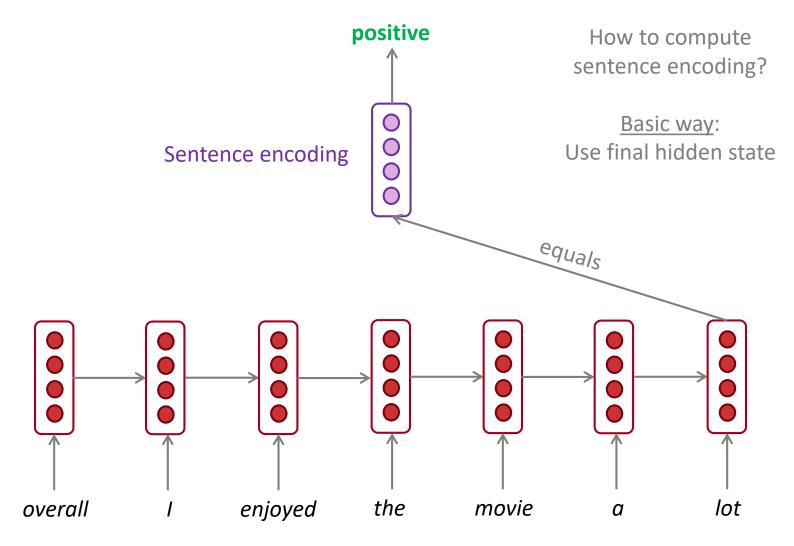
e.g. sentiment classification





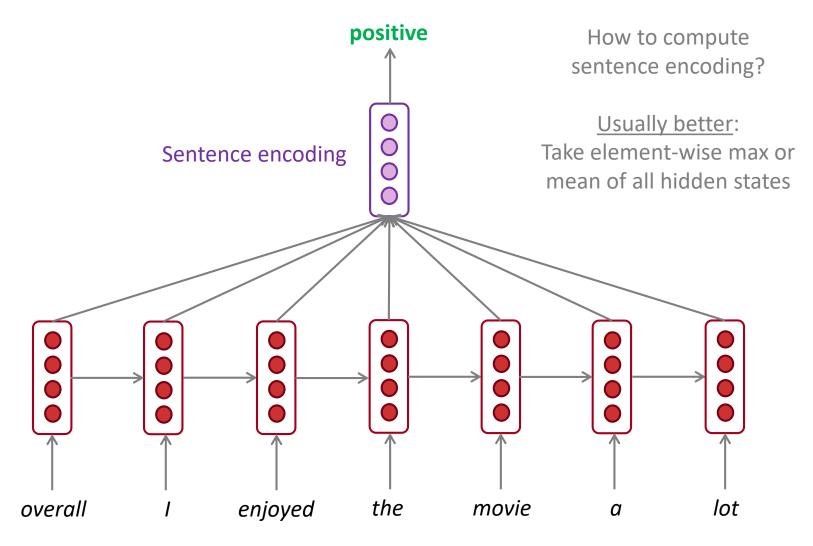
RNNs can be used for sentence classification

e.g. sentiment classification



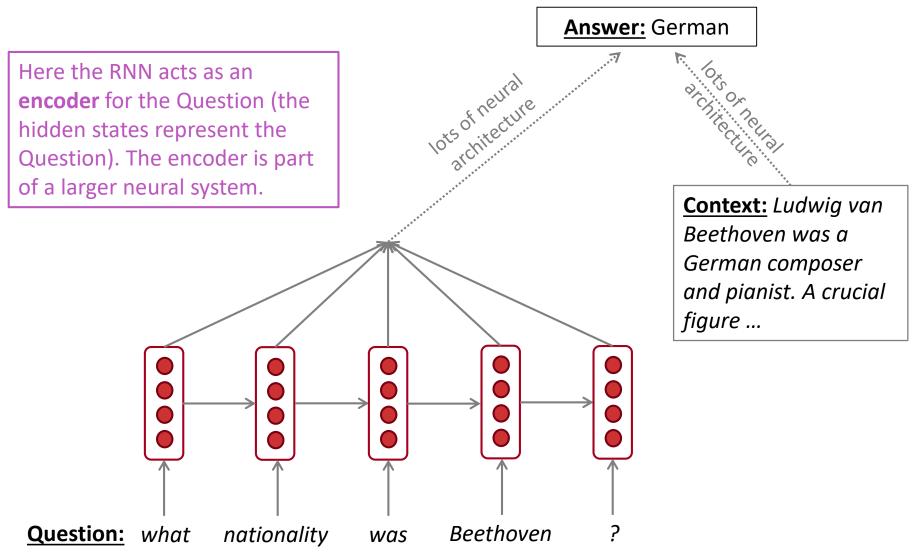
RNNs can be used for sentence classification

e.g. sentiment classification



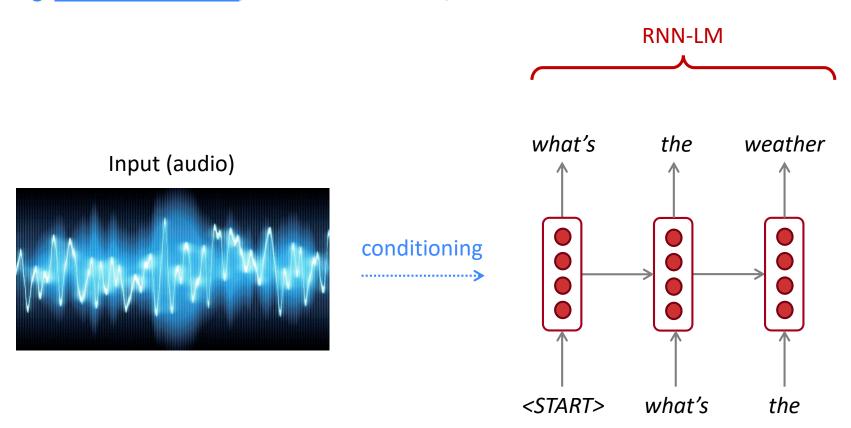
RNNs can be used as an encoder module

e.g. question answering, machine translation, many other tasks!



RNN-LMs can be used to generate text

e.g. speech recognition, machine translation, summarization



This is an example of a *conditional language model*. We'll see Machine Translation in much more detail later.

A note on terminology

RNN described in this lecture = "vanilla RNN"



Next lecture: You will learn about other RNN flavors





and multi-layer RNNs



By the end of the course: You will understand phrases like "stacked bidirectional LSTM with residual connections and self-attention"

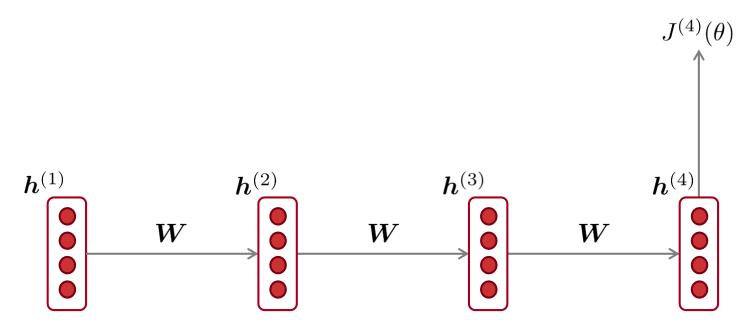


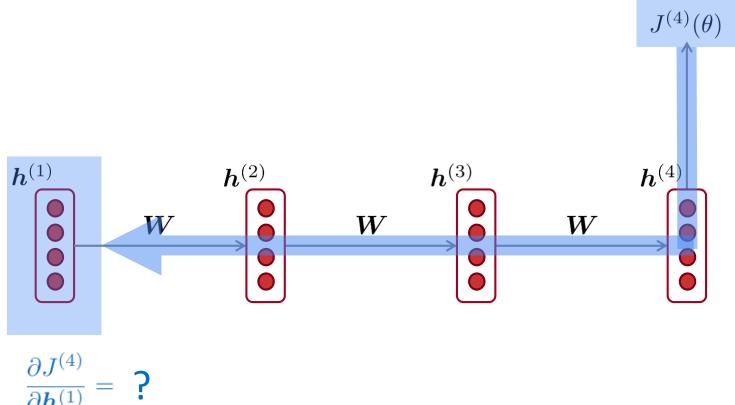
Next time

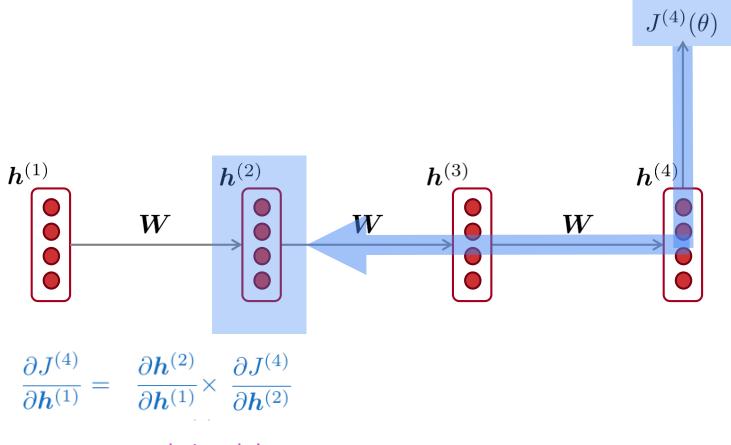
- Problems with RNNs!
 - Vanishing gradients

motivates

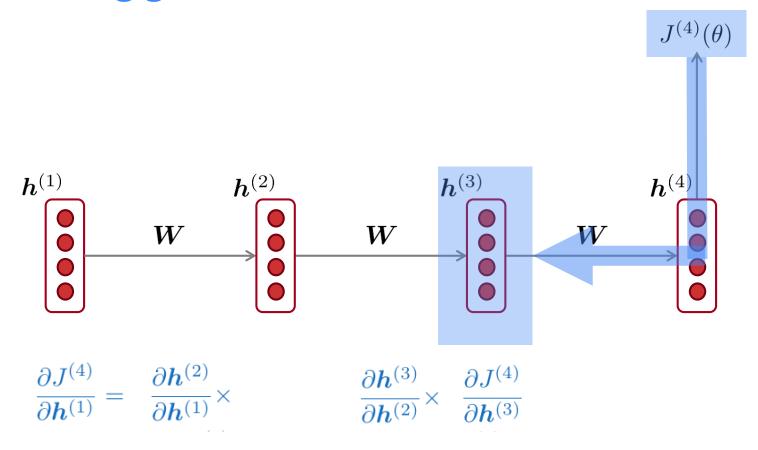
- Fancy RNN variants!
 - LSTM
 - GRU
 - multi-layer
 - bidirectional



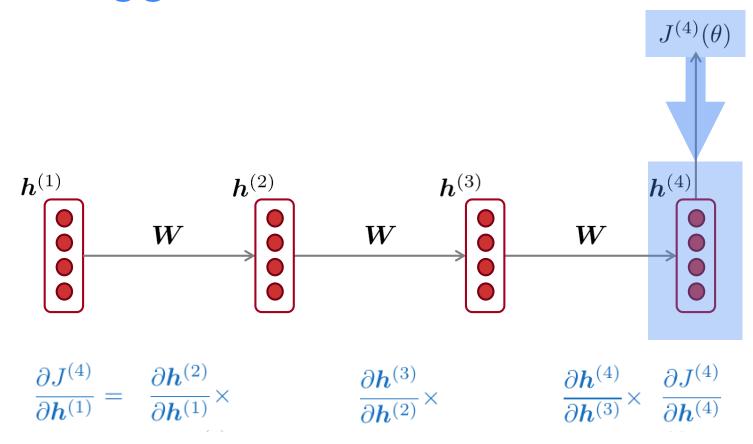




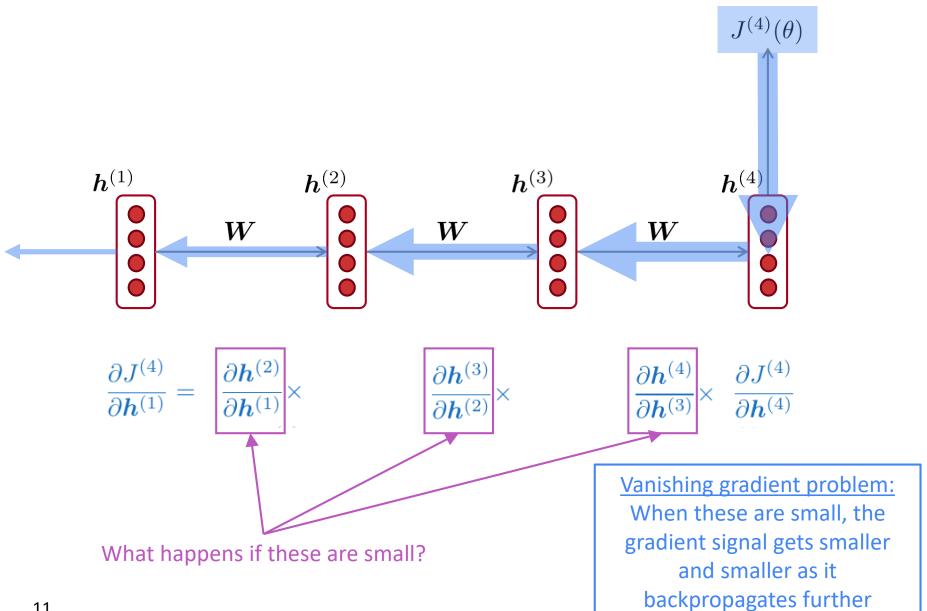
chain rule!



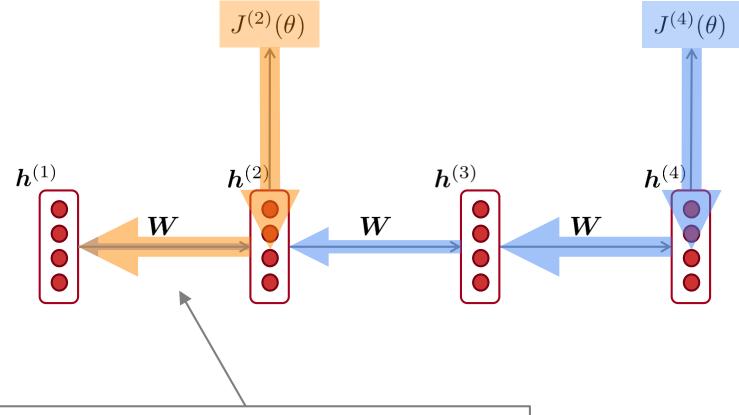
chain rule!



chain rule!



Why is vanishing gradient a problem?



Gradient signal from faraway is lost because it's much smaller than gradient signal from close-by.

So model weights are only updated only with respect to near effects, not long-term effects.

Why is vanishing gradient a problem?

- Another explanation: Gradient can be viewed as a measure of the effect of the past on the future
- If the gradient becomes vanishingly small over longer distances (step t to step t+n), then we can't tell whether:
 - 1. There's no dependency between step t and t+n in the data
 - 2. We have wrong parameters to capture the true dependency between *t* and *t+n*

Effect of vanishing gradient on RNN-LM

- **LM task:** When she tried to print her tickets, she found that the printer was out of toner. She went to the stationery store to buy more toner. It was very overpriced. After installing the toner into the printer, she finally printed her _____
- To learn from this training example, the RNN-LM needs to model the dependency between "tickets" on the 7th step and the target word "tickets" at the end.
- But if gradient is small, the model can't learn this dependency
 - So the model is unable to predict similar long-distance dependencies at test time

Effect of vanishing gradient on RNN-LM

• LM task: The writer of the books ____ are

- Correct answer: The writer of the books is planning a sequel
- Syntactic recency: The <u>writer</u> of the books <u>is</u> (correct)
- Sequential recency: The writer of the books are (incorrect)
- Due to vanishing gradient, RNN-LMs are better at learning from sequential recency than syntactic recency, so they make this type of error more often than we'd like [Linzen et al 2016]

Why is exploding gradient a problem?

 If the gradient becomes too big, then the SGD update step becomes too big:

$$heta^{new} = heta^{old} - \alpha \nabla_{\theta} J(\theta)$$
 gradient

- This can cause bad updates: we take too large a step and reach a bad parameter configuration (with large loss)
- In the worst case, this will result in Inf or NaN in your network (then you have to restart training from an earlier checkpoint)

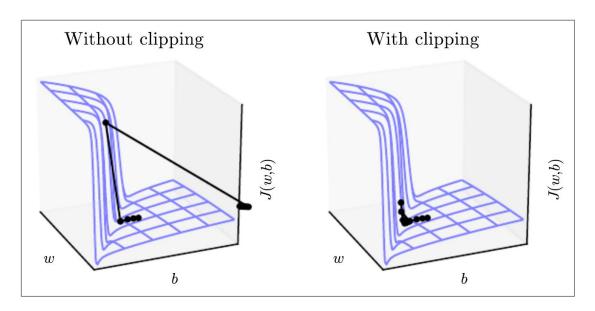
Gradient clipping: solution for exploding gradient

 Gradient clipping: if the norm of the gradient is greater than some threshold, scale it down before applying SGD update

Algorithm 1 Pseudo-code for norm clipping
$$\hat{\mathbf{g}} \leftarrow \frac{\partial \mathcal{E}}{\partial \theta} \\
\mathbf{if} \quad \|\hat{\mathbf{g}}\| \geq threshold \ \mathbf{then} \\
\hat{\mathbf{g}} \leftarrow \frac{threshold}{\|\hat{\mathbf{g}}\|} \hat{\mathbf{g}} \\
\mathbf{end} \quad \mathbf{if}$$

<u>Intuition</u>: take a step in the same direction, but a smaller step

Gradient clipping: solution for exploding gradient



- This shows the loss surface of a simple RNN (hidden state is a scalar not a vector)
- The "cliff" is dangerous because it has steep gradient
- On the left, gradient descent takes two very big steps due to steep gradient, resulting
 in climbing the cliff then shooting off to the right (both bad updates)
- On the right, gradient clipping reduces the size of those steps, so effect is less drastic

How to fix vanishing gradient problem?

- The main problem is that it's too difficult for the RNN to learn to preserve information over many timesteps.
- In a vanilla RNN, the hidden state is constantly being rewritten

$$\boldsymbol{h}^{(t)} = \sigma \left(\boldsymbol{W}_h \boldsymbol{h}^{(t-1)} + \boldsymbol{W}_x \boldsymbol{x}^{(t)} + \boldsymbol{b} \right)$$

How about a RNN with separate memory?

- A type of RNN proposed by Hochreiter and Schmidhuber in 1997 as a solution to the vanishing gradients problem.
- On step t, there is a hidden state $m{h}^{(t)}$ and a cell state $m{c}^{(t)}$
 - Both are vectors length n
 - The cell stores long-term information
 - The LSTM can erase, write and read information from the cell
- The selection of which information is erased/written/read is controlled by three corresponding gates
 - The gates are also vectors length n
 - On each timestep, each element of the gates can be open (1), closed (0), or somewhere in-between.
 - The gates are dynamic: their value is computed based on the current context

We have a sequence of inputs $m{x}^{(t)}$, and we will compute a sequence of hidden states $m{h}^{(t)}$ and cell states $c^{(t)}$. On timestep t:

Forget gate: controls what is kept vs forgotten, from previous cell state

Input gate: controls what parts of the new cell content are written to cell

Output gate: controls what parts of cell are output to hidden state

New cell content: this is the new content to be written to the cell

Cell state: erase ("forget") some content from last cell state, and write ("input") some new cell content

Hidden state: read ("output") some content from the cell

Sigmoid function: all gate values are between 0 and 1

$$oldsymbol{f}^{(t)} = \sigma igg(oldsymbol{W}_f oldsymbol{h}^{(t-1)} + oldsymbol{U}_f oldsymbol{x}^{(t)} + oldsymbol{b}_f igg)$$

$$oldsymbol{i}^{(t)} = \sigma \left(oldsymbol{W}_i oldsymbol{h}^{(t-1)} + oldsymbol{U}_i oldsymbol{x}^{(t)} + oldsymbol{b}_i
ight)$$

$$egin{aligned} oldsymbol{f}^{(t)} &= \sigma \left(oldsymbol{W}_f oldsymbol{h}^{(t-1)} + oldsymbol{U}_f oldsymbol{x}^{(t)} + oldsymbol{b}_f
ight) \ oldsymbol{i}^{(t)} &= \sigma \left(oldsymbol{W}_i oldsymbol{h}^{(t-1)} + oldsymbol{U}_i oldsymbol{x}^{(t)} + oldsymbol{b}_i
ight) \ oldsymbol{o}^{(t)} &= \sigma \left(oldsymbol{W}_o oldsymbol{h}^{(t-1)} + oldsymbol{U}_o oldsymbol{x}^{(t)} + oldsymbol{b}_o
ight) \end{aligned}$$

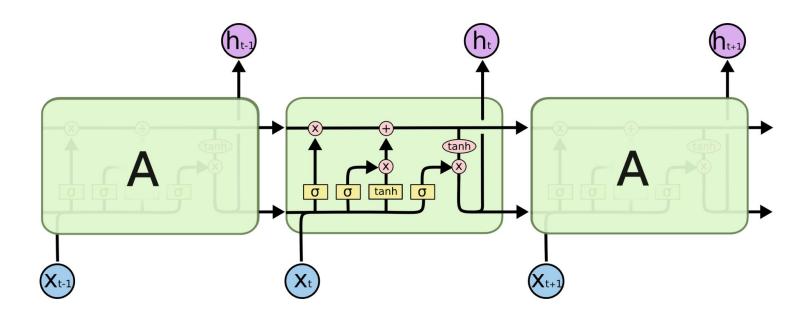
$$egin{aligned} ilde{oldsymbol{c}} & ilde{oldsymbol{c}}^{(t)} = anh\left(oldsymbol{W}_c oldsymbol{h}^{(t-1)} + oldsymbol{U}_c oldsymbol{x}^{(t)} + oldsymbol{b}_c
ight) \ oldsymbol{c}^{(t)} = oldsymbol{f}^{(t)} \circ oldsymbol{c}^{(t-1)} + oldsymbol{i}^{(t)} \circ ilde{oldsymbol{c}}^{(t)} \end{aligned}$$

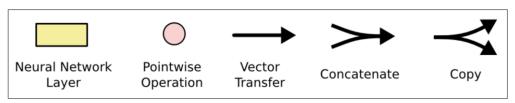
$$oldsymbol{c}^{(t)} = oldsymbol{f}^{(t)} \circ oldsymbol{c}^{(t-1)} + oldsymbol{i}^{(t)} \circ ilde{oldsymbol{c}}^{(t)}$$

$$ightharpoonup oldsymbol{h}^{(t)} = oldsymbol{o}^{(t)} \circ anh oldsymbol{c}^{(t)}$$

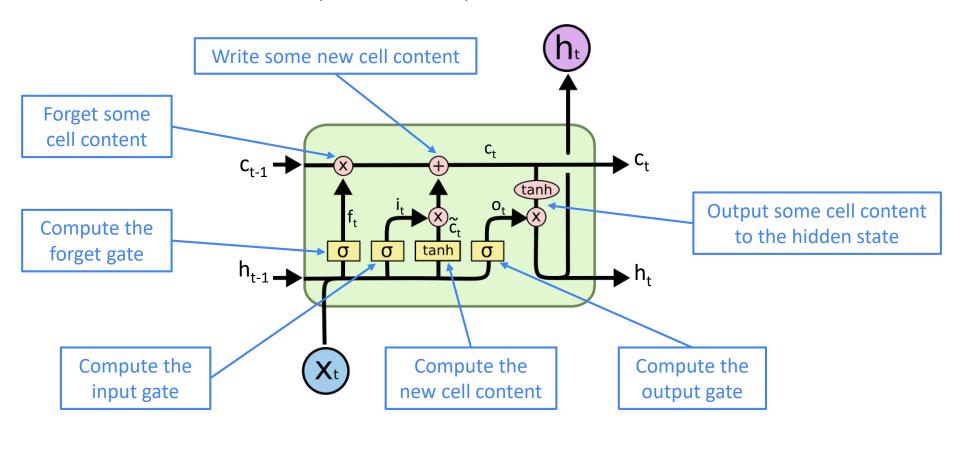
Gates are applied using element-wise product

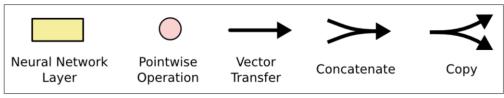
You can think of the LSTM equations visually like this:





You can think of the LSTM equations visually like this:





How does LSTM solve vanishing gradients?

- The LSTM architecture makes it easier for the RNN to preserve information over many timesteps
 - e.g. if the forget gate is set to remember everything on every timestep, then the info in the cell is preserved indefinitely
 - By contrast, it's harder for vanilla RNN to learn a recurrent weight matrix W_h that preserves info in hidden state
- LSTM doesn't guarantee that there is no vanishing/exploding gradient, but it does provide an easier way for the model to learn long-distance dependencies

LSTMs: real-world success

- In 2013-2015, LSTMs started achieving state-of-the-art results
 - Successful tasks include: handwriting recognition, speech recognition, machine translation, parsing, image captioning
 - LSTM became the dominant approach
- Now (2019), other approaches (e.g. Transformers) have become more dominant for certain tasks.
 - For example in WMT (a MT conference + competition):
 - In WMT 2016, the summary report contains "RNN" 44 times
 - In WMT 2018, the report contains "RNN" 9 times and "Transformer" 63 times

Gated Recurrent Units (GRU)

- Proposed by Cho et al. in 2014 as a simpler alternative to the LSTM.
- On each timestep t we have input $m{x}^{(t)}$ and hidden state $m{h}^{(t)}$ (no cell state).

Update gate: controls what parts of hidden state are updated vs preserved

Reset gate: controls what parts of previous hidden state are used to compute new content

New hidden state content: reset gate selects useful parts of prev hidden state. Use this and current input to compute new hidden content.

<u>Hidden state:</u> update gate simultaneously controls what is kept from previous hidden state, and what is updated to new hidden state content

$$egin{aligned} oldsymbol{u}^{(t)} &= \sigma \left(oldsymbol{W}_u oldsymbol{h}^{(t-1)} + oldsymbol{U}_u oldsymbol{x}^{(t)} + oldsymbol{b}_u
ight) \ oldsymbol{ au}^{(t)} &= \sigma \left(oldsymbol{W}_r oldsymbol{h}^{(t-1)} + oldsymbol{U}_r oldsymbol{x}^{(t)} + oldsymbol{b}_r
ight) \end{aligned}$$

$$m{ ilde{h}}^{(t)} = anh\left(m{W}_h(m{r}^{(t)} \circ m{h}^{(t-1)}) + m{U}_hm{x}^{(t)} + m{b}_h
ight)$$
 $m{h}^{(t)} = (1 - m{u}^{(t)}) \circ m{h}^{(t-1)} + m{u}^{(t)} \circ ilde{m{h}}^{(t)}$

How does this solve vanishing gradient?
Like LSTM, GRU makes it easier to retain info

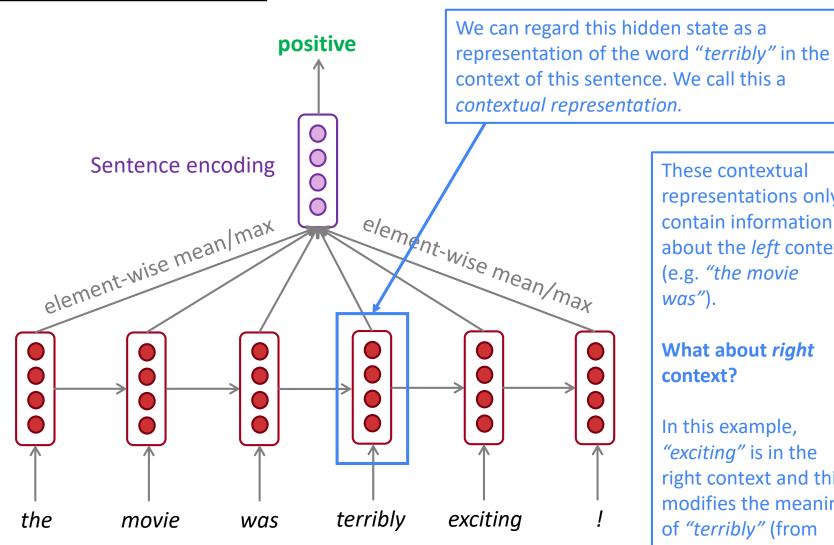
long-term (e.g. by setting update gate to 0)

LSTM vs GRU

- Researchers have proposed many gated RNN variants, but LSTM and GRU are the most widely-used
- The biggest difference is that GRU is quicker to compute and has fewer parameters
- There is no conclusive evidence that one consistently performs better than the other
- LSTM is a good default choice (especially if your data has particularly long dependencies, or you have lots of training data)
- <u>Rule of thumb</u>: start with LSTM, but switch to GRU if you want something more efficient

Bidirectional RNNs: motivation

Task: Sentiment Classification



These contextual representations only contain information about the *left* context (e.g. "the movie

What about right context?

was").

In this example, "exciting" is in the right context and this modifies the meaning of "terribly" (from negative to positive)

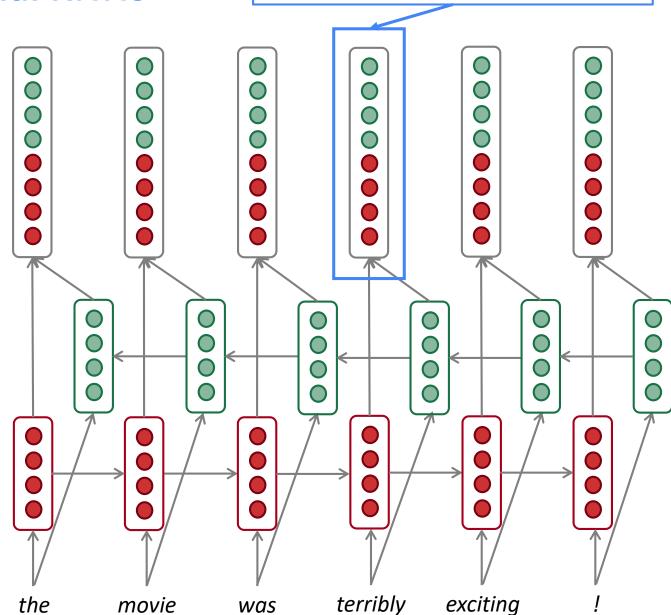
Bidirectional RNNs

This contextual representation of "terribly" has both left and right context!

Concatenated hidden states

Backward RNN

Forward RNN



Bidirectional RNNs

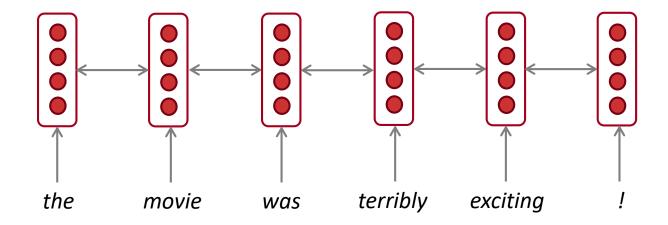
On timestep *t*:

This is a general notation to mean "compute one forward step of the RNN" – it could be a vanilla, LSTM or GRU computation.

Forward RNN
$$\overrightarrow{\boldsymbol{h}}^{(t)} = \overline{\text{RNN}_{\text{FW}}}(\overrightarrow{\boldsymbol{h}}^{(t-1)}, \boldsymbol{x}^{(t)})$$
 Generally, these two RNNs have separate weights
$$\overleftarrow{\boldsymbol{h}}^{(t)} = \overline{\text{RNN}_{\text{BW}}}(\overleftarrow{\boldsymbol{h}}^{(t+1)}, \boldsymbol{x}^{(t)})$$
 Concatenated hidden states $\boxed{\boldsymbol{h}^{(t)} = [\overrightarrow{\boldsymbol{h}}^{(t)}; \overleftarrow{\boldsymbol{h}}^{(t)}]}$

We regard this as "the hidden state" of a bidirectional RNN. This is what we pass on to the next parts of the network.

Bidirectional RNNs: simplified diagram



The two-way arrows indicate bidirectionality and the depicted hidden states are assumed to be the concatenated forwards+backwards states.

Bidirectional RNNs

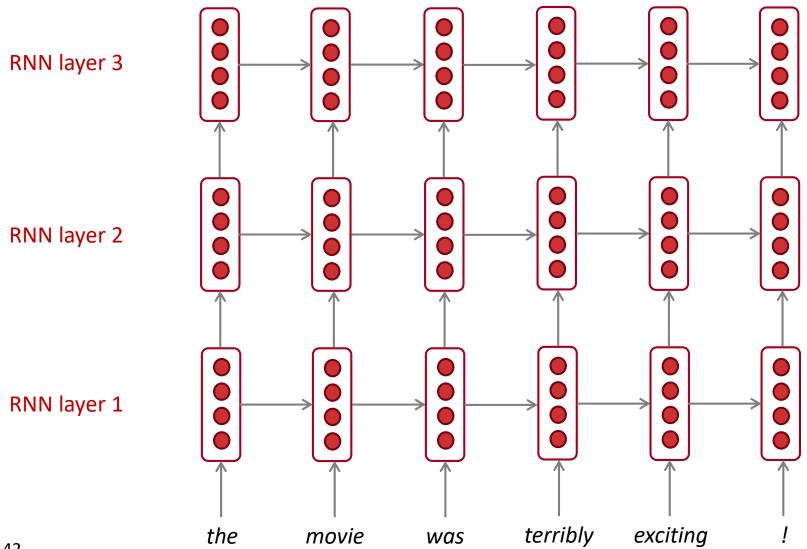
- Note: bidirectional RNNs are only applicable if you have access to the entire input sequence.
 - They are not applicable to Language Modeling, because in LM you only have left context available.
- If you do have entire input sequence (e.g. any kind of encoding), bidirectionality is powerful (you should use it by default).
- For example, BERT (Bidirectional Encoder Representations from Transformers) is a powerful pretrained contextual representation system built on bidirectionality.
 - You will learn more about BERT later in the course!

Multi-layer RNNs

- RNNs are already "deep" on one dimension (they unroll over many timesteps)
- We can also make them "deep" in another dimension by applying multiple RNNs – this is a multi-layer RNN.
- This allows the network to compute more complex representations
 - The lower RNNs should compute lower-level features and the higher RNNs should compute higher-level features.
- Multi-layer RNNs are also called stacked RNNs.

Multi-layer RNNs

The hidden states from RNN layer i are the inputs to RNN layer i+1

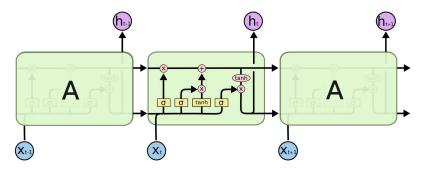


Multi-layer RNNs in practice

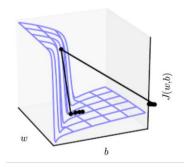
- High-performing RNNs are often multi-layer (but aren't as deep as convolutional or feed-forward networks)
- For example: In a 2017 paper, Britz et al find that for Neural Machine Translation, 2 to 4 layers is best for the encoder RNN, and 4 layers is best for the decoder RNN
 - However, skip-connections/dense-connections are needed to train deeper RNNs (e.g. 8 layers)
- Transformer-based networks (e.g. BERT) can be up to 24 layers
 - You will learn about Transformers later; they have a lot of skipping-like connections

In summary

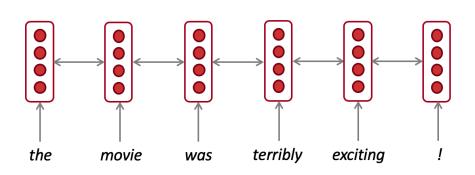
Lots of new information today! What are the practical takeaways?



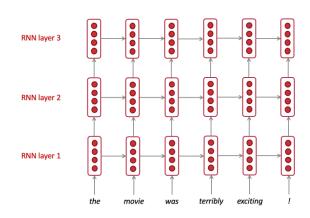
1. LSTMs are powerful but GRUs are faster



2. Clip your gradients



3. Use bidirectionality when possible



4. Multi-layer RNNs are powerful, but you might need skip/dense-connections if it's deep