

# perceptron-text

March 31, 2021

## 1 Text Classification with the Perceptron algorithm

In this assignment, you will implement the following:

1. The Average Perceptron training procedures.
2. Evaluate the Perceptron and Average Perceptron on 2 text classification tasks:
  - Spam filtering.
  - Newsgroups topic classification.
3. Bonus points.

### 1.1 Write Your Name Here:

## 2 Submission Instructions

1. Click the Save button at the top of the Jupyter Notebook.
2. Please make sure to have entered your name above.
3. Select Cell -> All Output -> Clear. This will clear all the outputs from all cells (but will keep the content of ll cells).
4. Select Cell -> Run All. This will run all the cells in order, and will take several minutes.
5. Once you've rerun everything, select File -> Download as -> PDF via LaTeX and download a PDF version *perceptron.pdf* showing the code and the output of all cells, and save it in the same folder that contains the notebook file *perceptron.ipynb*.
6. Look at the PDF file and make sure all your solutions are there, displayed correctly. The PDF is the only thing we will see when grading!
7. Submit **both** your PDF and notebook on Canvas.

```
[ ]: import numpy as np
import utils

np.random.seed(1)
```

### 2.1 1. The Perceptron algorithm

Copy here the implementation of the training procedure for the Perceptron algorithm.

The training algorithm runs for the specified number of epochs or until convergence, whichever happens first. The algorithm converged when it makes no mistake on the training examples. If the

algorithm converged, display a message "Converged in <e> epochs!".

```
[ ]: def perceptron_train(X, y, E):  
    """Perceptron training function.  
    Args:  
        X (np.ndarray): A 2D array training instances, one per row.  
        y (np.ndarray): A vector of labels.  
        E (int): the maximum number of epochs.  
  
    Returns:  
        np.ndarray: The learned vector of weights / parameters.  
    """  
    # Add bias feature to X.  
    X = # YOUR CODE HERE  
  
    # Initialize w with zero's.  
    w = # YOUR CODE HERE  
  
    for e in range(E):  
        # YOUR CODE HERE  
  
    return w
```

Copy here the implementation of the Perceptron prediction function that takes as input the Perceptron parameters  $w$  and returns a vector with the labels (+1 or -1) predicted for the test examples in input data  $X$ .

```
[ ]: def perceptron_test(X, w):  
    """Perceptron prediction function.  
    Args:  
        X (np.ndarray): A 2D array training instances, one per row.  
        w (np.ndarray): A vector of parameters.  
  
    Returns:  
        np.ndarray: The vector of predicted labels.  
    """  
    # Add bias feature to X.  
    X = # YOUR CODE HERE  
  
    # Compute the perceptron predictions on the examples in X.  
    pred = # YOUR CODE HERE
```

```
return pred
```

## 2.2 2. The Average Perceptron algorithm

Implement the training procedure for the Average Perceptron algorithm.

The training algorithm runs for the specified number of epochs or until convergence of the normal Perceptron, whichever happens first. The algorithm converged when it makes no mistake on the training examples. If the algorithm converged, display a message "Converged in <e> epochs!". Return a tuple (w, avg) containing the last w vector and the average vector avg.

```
[ ]: def aperceptron_train(X, y, E):  
    """Average Perceptron training function.  
    Args:  
        X (np.ndarray): A 2D array training instances, one per row.  
        y (np.ndarray): A vector of labels.  
        E (int): the maximum number of epochs.  
  
    Returns:  
        (w, avg): The learned vector of weights and the average vector.  
    """  
    # Add bias feature to X.  
    X = # YOUR CODE HERE  
  
    # Initialize w and avg with zero's.  
    w = # YOUR CODE HERE  
    avg = # YOUR CODE HERE  
  
    for e in range(E):  
        # YOUR CODE HERE  
  
    return w, avg
```

## 2.3 3. Spam Filtering

In this problem, you will train and evaluate spam classifiers using the perceptron and average perceptron algorithms. The dataset contains two files: *spam\_train.txt* with 4,000 training examples and *spam\_test.txt* with 1,000 test examples. The dataset is based on a subset of the Spam Assassin Public Corpus. Each line in the training and test files contains the pre-processed version of one email. The line starts with the label, followed by the email tokens separated by spaces.

Figure 1 shows a sample source email, while Figure 2 shows its pre-processed version in which web addresses are replaced with the "httpaddr" token, numbers are replaced with a "number" token,

dollar amounts are replaced with “dollarnumb”, and email addresses are replaced with “emailaddr”. Furthermore, all words are lower-cased, HTML tags are removed, and words are reduced to their stems i.e. “expecting”, “expected”, “expectation” are all replaced with “expect”. Non-words and punctuation symbols are removed.

Implement a function `create_vocabulary()` that takes as input the path to the training file and the path to a vocabulary file (we will use `spam_vocab.txt`) that is created by the function. The vocabulary file should contain a list of all the (pre-processed) tokens that appear in the training examples, together with their counts. The file should contain one token per line in the format `<id> <token> <count>`, where each token is associated a unique integer identifier. The tokens should be listed in increasing order of their identifiers, starting from 1. See for example the vocabulary file `data/newsgroups_vocab.txt` that we generated for the newsgroup topic classification problem.

```
[ ]: def create_vocabulary(finput, foutput):
    """Function that creates the vocabulary and saves it into a file.
    Args:
        finput (string): The path to the training file.
        foutput (string): The path to the output file where the vocabulary will
    →be saved
    """

    # Initialize the vocabulary to an empty dictionary, create it from the
    →input file.
    # The dictionary will map each token to a tuple (id, count).
    vocab = {}
    with open(finput, "r", encoding="utf-8") as fi:
        for line in fi:
            # YOUR CODE HERE

    # Write the vocabulary into the output file.
    with open(foutput, "w", encoding="utf-8") as fo:
        # YOUR CODE HERE
```

Implement a function `load_vocabulary()` that reads the vocabulary from a file into a dictionary that maps each token to a tuple (id, count). Only include tokens that have counts greater than or equal with `mincount`.

```
[ ]: def load_vocabulary(finput, mincount):
    """Function that reads the vocabulary from an input file.
    Args:
        finput (string): The path to the vocabulary file.
        mincount (int): The minimum count number.

    Returns:
```

```

        vocab: A Python dictionary containing the vocabulary, using only tokens
        appearing at least mincount times.
        """

vocab = {}
with open(fininput, "r", encoding="utf-8") as fi:
    for line in fi:
        # YOUR CODE HERE

return vocab

```

Implement a function `create_svm_format` that reads an input file (e.g. training or testing data) and for each example in the file it creates a sparse feature vector representation wherein each example is represented as one line in the file using the format `<label> <id1>:<val1> <id2>:<val2> ...`, where the id's are listed in increasing order and correspond only to tokens that appear in that example (use 1 for all values, representing that fact that the corresponding token appeared in the example). An example of this sparse representation can be seen in the file `data/newsgroups_train1.txt` that we generated for the newsgroup topic classification problem. Save the new version of the dataset in the files `data/spam_train_svm.txt` and `data/spam_test_svm.txt`.

```

[ ]: def create_svm_format(fininput, foutput, vocab):
    """Function that creates the sparse feature vector representation of a
    dataset.
    Args:
        fininput (string): The path to the file containing the dataset (e.g.,
        training or test).
        foutput (string): The path to the output file where the new format of
        the dataset will be saved.
        vocab (dict): The Python dictionary containing the vocabulary.
    """

    with open(fininput, "r", encoding="utf-8") as fi, open(foutput, "w",
    encoding="utf-8") as fo:
        for line in fi:
            # YOUR CODE HERE

```

The function `read_examples()` below reads all examples from a file with sparse feature vectors and returns a tuple `(data, labels)` where the `data` is a two dimensional array containing all feature vectors, one per row, in the same order as in the file, and the `labels` is a vector containing the corresponding

labels. You can use this function to verify that your implementation of `create_svm_format()` is correct.

```
[ ]: def read_examples(file_name, nfeatures):
    """Function that creates the sparse feature vector representation of a
    →dataset.
    Args:
        file_name (string): the path to the file containing a set of examples
    →in the sparse feature vector format.
        nfeatures (int): the total number of features.

    Returns:
        (data, labels): 'data' is a two dimensional array containing all
    →feature vectors, one per row, in the same order as in the input file;
        'labels' is a vector containing the corresponding
    →labels.
    """

    X = []
    t = []
    with open(file_name, "r", encoding="utf-8") as fi:
        for line in fi:
            label, *features = line.strip().split(" ")
            t.append(int(label))
            f = [0] * nfeatures
            for x in features:
                index, value = x.split(":")
                f[int(index) - 1] = float(value)
            X.append(f)
    Xarray = np.array(X)
    tarray = np.array(t)

    return Xarray, tarray
```

Train the perceptron algorithm for 100 epochs or until convergence, whichever comes first. Make sure your code reads the training examples from `data/spam_train_svm.txt` and processes the examples in the order they are listed in the files. Use as features only tokens that appear at least 30 times in the training data.

Report the number of epochs needed for convergence, the number of mistakes made during each epoch for the first 25 epochs, and the total number of mistakes made, and save the returned parameter vector in `spam_model_p.txt`.

Test the perceptron algorithm by reading the parameter vector from `spam_model_p.txt` and the test examples from `data/spam_test_svm.txt` and calling `*perceptron_test()`. Report the test accuracy.

Run the same experiment for the average perceptron algorithm, training for 100 epochs or until the corresponding perceptron convergences, whichever comes first. Save the returned parameter vector

in `spam_model_ap.txt`.

Test the average perceptron algorithm by reading the parameter vector from `spam_model_ap.txt` and the test examples from `data/spam_test_svm.txt`\* and calling `*perceptron_test()`. Report the test accuracy.

Compare the Perceptron vs. Average Perceptron performance and report in the Analysis section at the end of this notebook.

```
[ ]: # Create vocabulary from training examples.
create_vocabulary("../data/spam/spam_train.txt", "../data/spam/spam_vocab.txt")

# Read vocabulary, use only tokens appearing at least 30 times in the training_
↳data..
vocab = load_vocabulary("../data/spam/spam_vocab.txt", 30)
print("Number of features is:", len(vocab))

# Generate sparse format file.
create_svm_format("../data/spam/spam_train.txt", "../data/spam/spam_train_svm.
↳txt", vocab)
create_svm_format("../data/spam/spam_test.txt", "../data/spam/spam_test_svm.
↳txt", vocab)

# Read the training and test examples from the sparse format files.
Xtrain, ttrain = read_examples("../data/spam/spam_train_svm.txt", len(vocab))
Xtest, ttest = read_examples("../data/spam/spam_test_svm.txt", len(vocab))

# Add the bias column to Xtrain and Xtest
Xtrain = # YOUR CODE HERE
Xtest = # YOUR CODE HERE

##### Perceptron experiment #####
# Train the Perceptron algorithm and save its parameters.
print("** Perceptron **")
np.savetxt("spam_model_p.txt", perceptron_train(Xtrain, ttrain, 100), "%.4f")

# Load the Perceptron parameters and evaluate its accuracy on the test examples.
w = np.loadtxt("spam_model_p.txt")
pred = perceptron_test(w, Xtest)
acc = # YOUR CODE HERE

print("Accuracy for Perceptron: %.4f\n" % acc)

##### Average Perceptron experiment #####
# Train the Average Perceptron algorithm and save its parameters.

print("** Average Perceptron **")
```

```

np.savetxt("spam_model_ap.txt", aperceptron_train(Xtrain, ttrain, 100)[1], "%.
↪4f")
wavg = np.loadtxt("spam_model_ap.txt")
pred = perceptron_test(w, Xte)
acc = # YOUR CODE HERE

print("Accuracy for Average Perceptron: %.4f\n" % acc)

```

## 2.4 4. Newsgroup topic classification: Atheism vs. Religion

In this problem, you will train and evaluate the binary perceptron and average perceptron algorithms on a subset of the 20 newsgroups dataset. In this subset, there are 857 positive example and 570 test examples, on the topics of atheism and religion. Newsgroup postings on the topic of Atheism *alt.atheism* are given label 1, whereas newsgroup posting on the topic of Religion *talk.religion.misc* are given label -1. Thus, the models will be trained to distinguish between postings on Atheism and postings on Religion.

The feature vectors have already been created for you and are stored in files using the sparse feature vector representation described above. To create these feature vectors, we stripped meta-data, quotes, and headers from the documents. The words were stemmed and tokens that appeared less than 20 times in the training examples were filtered out. Common tokens from the *data/stopwords.txt* file were also removed. The remaining tokens are stored in the vocabulary file *data/newsgroups\_vocab.txt* and are used to create two versions of the dataset:

- **Version 1** In this version, each token corresponds to a feature whose value for a particular document is computed using the standard *tf.idf* formula from Information Retrieval (think search engines). The term frequency *tf* refers to the number of times the token appears in the document, whereas the inverse document frequency *idf* refers to the inverse of the log of the total number of documents that contain the token. The *idf* numbers are computed using the entire 20 newsgroup dataset. The two quantities are multiplied into one *tf.idf* value and are meant to give more importance to words that are rare (i.e. large *idf*) and appear more frequently inside the corresponding document example (i.e. large *tf*). The training and test examples thus created are stored in *data/newsgroups\_train1.txt* and *data/newsgroups\_test1.txt* respectively.
- **Version 2** This is the same as version 1 above, except that the term frequencies are set to 1 for all tokens that appear in a document, i.e. the number of times a token appears in the document is irrelevant and the only thing that matters is whether the token appeared or not in the document, and also how rare it is (through the *idf* weight). The training and test examples for this version are stored in *data/newsgroups\_train2.txt* and *newsgroups\_test2.txt* respectively.

For more details on how the feature vectors were created, you can read the Scikit section at [http://scikit-learn.org/stable/datasets/twenty\\_newsgroups.html](http://scikit-learn.org/stable/datasets/twenty_newsgroups.html).

Some of the examples in training and testing have 0 features. We will be using only examples that have at least 1 feature. Write a new version of the *read\_examples* function that returns only examples that have at least 1 non-zero feature.



```
[ ]: def read_examples(file_name, nfeatures):
    """Function that creates the sparse feature vector representation of a
    →dataset.
    Args:
        file_name (string): the path to the file containing a set of examples
    →in the sparse feature vector format.
        nfeatures (int): the total number of features.

    Returns:
        (data, labels): 'data' is a two dimensional array containing all
    →feature vectors, one per row, in the same order as in the input file;
        'labels' is a vector containing the corresponding
    →labels.
    """

    # YOUR CODE HERE
```

For each version of the dataset, use the perceptron and average perceptron implementations that you wrote earlier and train the two algorithms for 10,000 epochs or until convergence, whichever comes first. Process the examples in the order they are listed in the files. Save the parameters in the corresponding *newsgroups\_model\_<x>.txt* files. Then evaluate the two perceptron algorithms on the corresponding test examples for each version. For each version, report and compare the accuracies of the two models.

```
[ ]: # YOUR CODE HERE
```

```
[ ]:
```

## 2.5 5. Bonus points

A. Train the Kernel Perceptron algorithm on the Newsgroup topic classification dataset, using a Gaussian kernel with parameters  $r = 1$ .

B. Train and evaluate SVM models on the same datasets, and compare with the perceptron models' performance. You can use the sklearn implementation, for more details see <https://scikit-learn.org/stable/modules/svm.html>.

## 2.6 6. Anything extra goes here

```
[ ]:
```

## 2.7 7. Analysis

Include here a nicely formatted report of the results, comparisons. Include explanations and any insights you can derive from the algorithm behavior and the results. This section is important, so make sure you address it appropriately.

[ ]: