

## Two simplified statements and proofs

The next theorem implies the equivalence of parts (b) and (c) in Theorem 6.6.8, and provides missing proofs of parts of Theorem 4.4.5.

**Theorem 1** *For any two nonempty sets  $A$  and  $B$ , the following two statements are equivalent:*

1. *There is an injective function  $f : A \rightarrow B$ .*
2. *There is a surjective function  $g : B \rightarrow A$ .*

*Furthermore, no matter which of the functions  $f$  and  $g$  is given, we may select the other one in such a way that  $g \circ f$  is the identity function of  $A$ .*

**Proof:** Suppose there is an injective function  $f : A \rightarrow B$  and let  $a$  be a fixed element of  $A$ . For any element  $b$  in  $B$  define  $g(b)$  to be the only element of  $f^{-1}(\{b\})$  if  $b$  is in the range of  $f$ , and set  $g(b) = a$  otherwise. Note that  $f^{-1}(\{b\})$  has only one element, since  $f$  is injective. It is easy to check that  $g \circ f$  is the identity function of  $A$ . As a consequence, the range of  $g$ , which contains the range of  $g \circ f$ , contains  $A$ , so  $g$  is surjective.

Suppose next, there is a surjective function  $g : B \rightarrow A$ . For any  $a$  in  $A$ , the set  $g^{-1}(\{a\})$  is not empty. By the Axiom of Choice, we can select an element  $b_a$  in each  $g^{-1}(\{a\})$  simultaneously. Hence we can define a function  $f : A \rightarrow B$  by setting  $f(a) = b_a$ . The function  $f$  satisfies that  $g \circ f$  is the identity function of  $A$ . As a consequence  $f$  is one-to-one: if  $f(a) = f(a')$  then  $g \circ f(a) = g \circ f(a')$  and  $a = a'$ .  $\diamond$

The next theorem is a simplified variant of Theorem 6.6.7. The proof is simplified.

**Theorem 2** *A subset  $X$  of  $\mathbb{N}$  is countable.*

**Proof:** We attempt to define a bijection  $f : \mathbb{N} \rightarrow X$  recursively as follows. We set  $f(1)$  to be the least element of  $X$ . If this does not exist then  $X$  is the empty set and it is countable. Remember also that by the *well-ordering principle* every nonempty subset of  $\mathbb{N}$  has a least element.

Suppose we have defined  $f(1), f(2), \dots, f(m)$ . Define  $f(m+1)$  to be the least element of  $X - \{f(1), f(2), \dots, f(m)\}$ . If there is some  $m$  for which this step is not possible then we have a bijection  $f : \{1, 2, \dots, m\} \rightarrow X$ , and  $X$  is finite. Otherwise, we obtain a function  $f : \mathbb{N} \rightarrow X$ . This map is one-to-one, because each element  $x$  in the range of  $f$  is selected to be the image of some element only once: if  $m$  is the least number such that  $f(k) = x$  then no smaller  $i$  satisfies  $f(i) = x$  and also for each  $i > k$  the element  $f(i)$  is selected from a set that does not contain  $f(k)$ . It suffices to show the function  $f$  is also onto. Assume, by way of contradiction, that

some  $x \in X$  is not in the range of  $f$ . Then  $f(x)$  is an element of a set that still contains  $x$ . It is also easy to see by induction on  $m$  that  $f(m) \geq m$  for all  $m$ . But then  $f(x)$  is at least  $x$  and it is the least element of a set containing  $x$ , so it is also at most  $x$ . We obtain  $f(x) = x$ , a contradiction.  $\diamond$

**Corollary 1** *If  $f : A \rightarrow B$  is injective and  $B$  is countable, then  $A$  is countable.*

Note that  $A \sim f(A)$  so it suffices to show that  $f(A)$  is countable. This is certainly true if  $B$  is finite, since the subset of a finite set is finite. If  $B$  is countably infinite then there is a bijection  $g : B \rightarrow \mathbb{N}$ . The set  $g(f(A))$  has the same cardinality as  $A$  and  $f(A)$  and it is a subset of  $\mathbb{N}$ . By the previous theorem it is countable.