

# Towards robust and effective shape modeling: Sparse shape composition

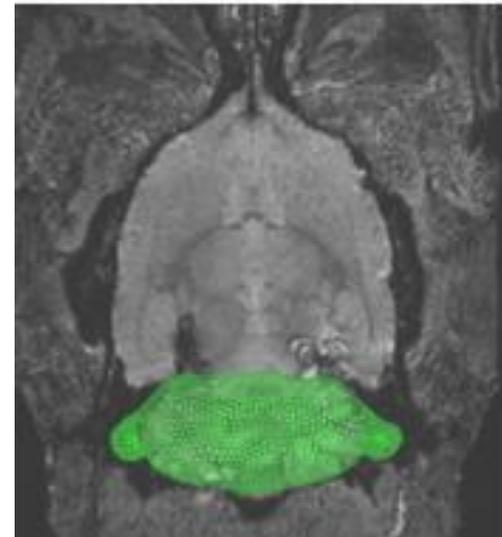
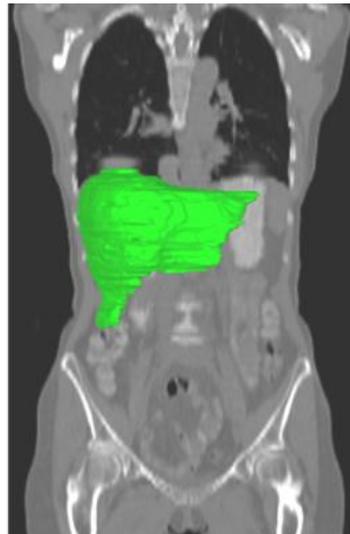


Shaoting Zhang  
CBIM Center  
Computer Science Department  
Rutgers University

# Introduction

## Background

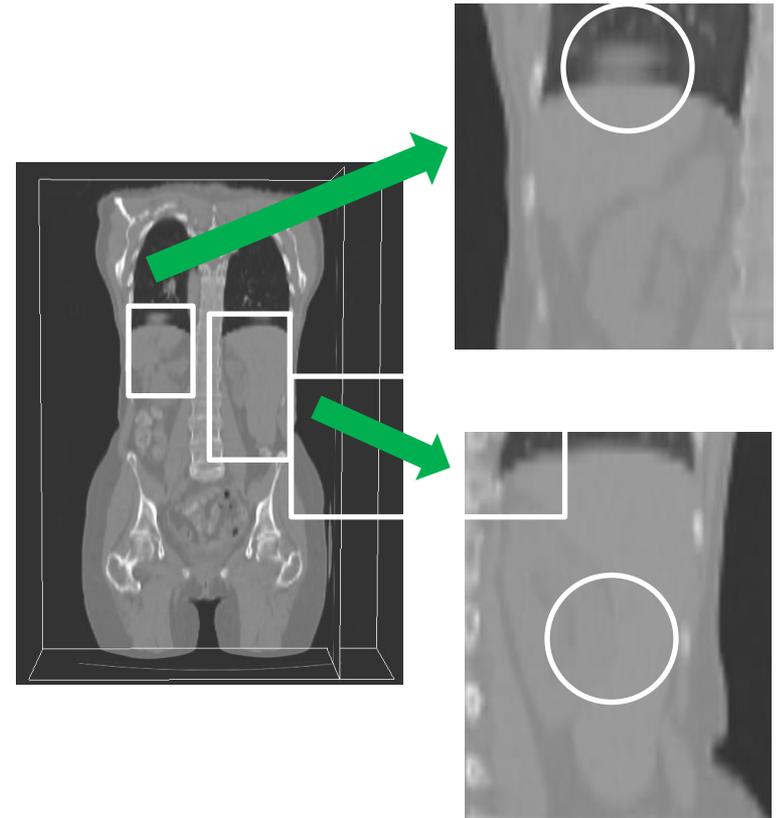
- Segmentation (finding 2D/3D region-of-interest) is a fundamental problem and bottleneck in many areas.
- We focus on learning-based deformable models with shape priors (2D contour or 3D mesh).



# Introduction

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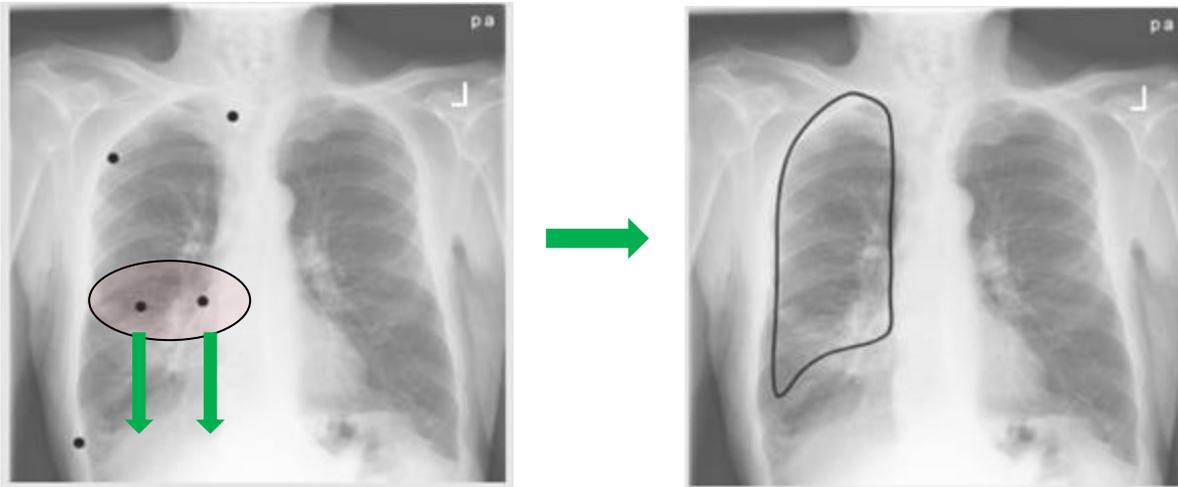
- End-to-end, automatic, accurate, efficient.
- **Robustness**
  - Handle weak or misleading appearance cues.
  - Handle diseased cases (e.g., with tumor/cancer).
  - Leverage **shape priors** to improve the robustness (Active Shape Model, T. Cootes, CVIU'95; 3D ASM for cardiac segmentation, Y. Zheng, TMI'08)



# Introduction

## Research Void

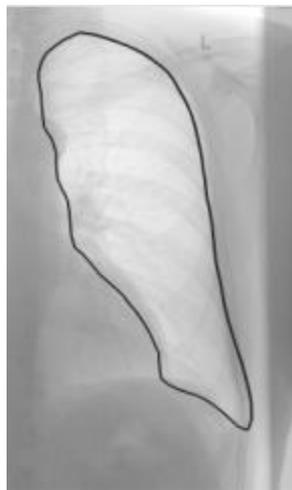
- Limitations of existing shape prior methods:
  - Assume Gaussian errors  $\rightarrow$  Sensitive to outliers



# Introduction

## Research Void

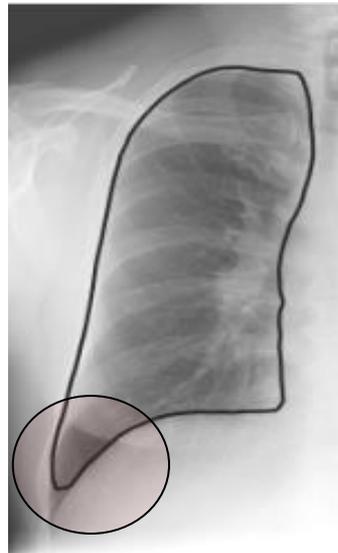
- Limitations of existing shape prior methods:
  - Assume Gaussian errors → Sensitive to outliers
  - Assume unimodal distribution of shapes → Cannot handle large shape variations, e.g., multimodal



# Introduction

## Research Void

- Limitations of existing shape prior methods:
  - Assume Gaussian errors → Sensitive to outliers
  - Assume unimodal distribution of shapes → Cannot handle large shape variations, e.g., multimodal
  - Only keep major variation → Lose local shape detail



# Introduction

## Research Void

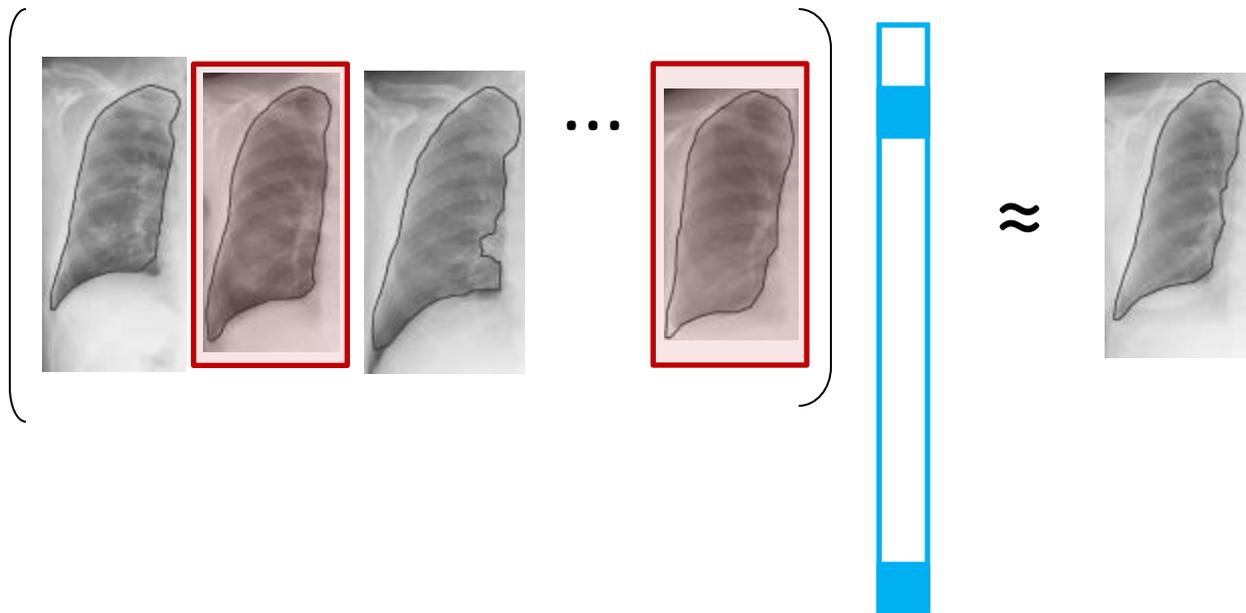
**Need to solve all three challenges simultaneously in practice**

- Handling gross errors or outliers.
  - RANSAC + ASM [M. Rogers, ECCV'02]
  - Robust Point Matching [J. Nahed, MICCAI'06]
- Handling multimodal distribution of shapes.
  - Mixture of Gaussians [T. F. Cootes, IVC'97]
  - Manifold learning for shape prior [Etyngier, ICCV'07]
  - Patient-specific shape [Y. Zhu, TMI'10]
- Preserving local shape details.
  - Sparse PCA [K. Sjostrand, TMI'07]
  - Hierarchical ASM [D. Shen, TMI'03]

# Methods

## Shape prior using sparse shape representation

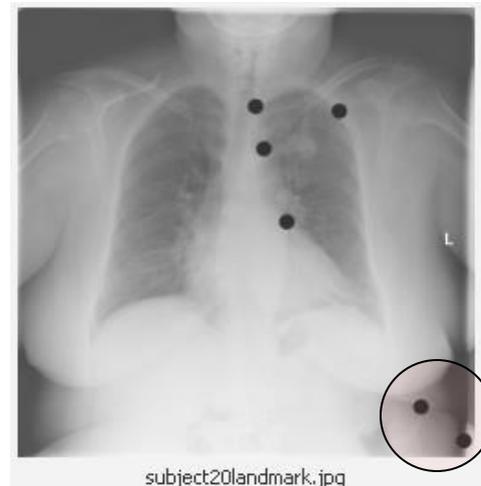
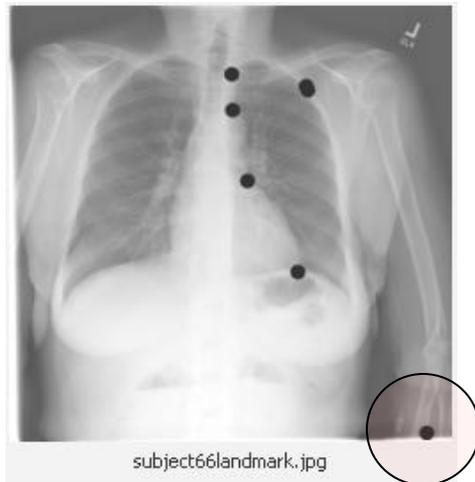
- Our method is based on two observations:
  - An input shape can be approximately represented by a sparse linear combination of training shapes.



# Methods

## Shape prior using sparse shape representation

- Our method is based on two observations:
  - An input shape can be approximately represented by a sparse linear combination of training shapes.
  - The given shape information may contain gross errors, but such errors are often sparse.

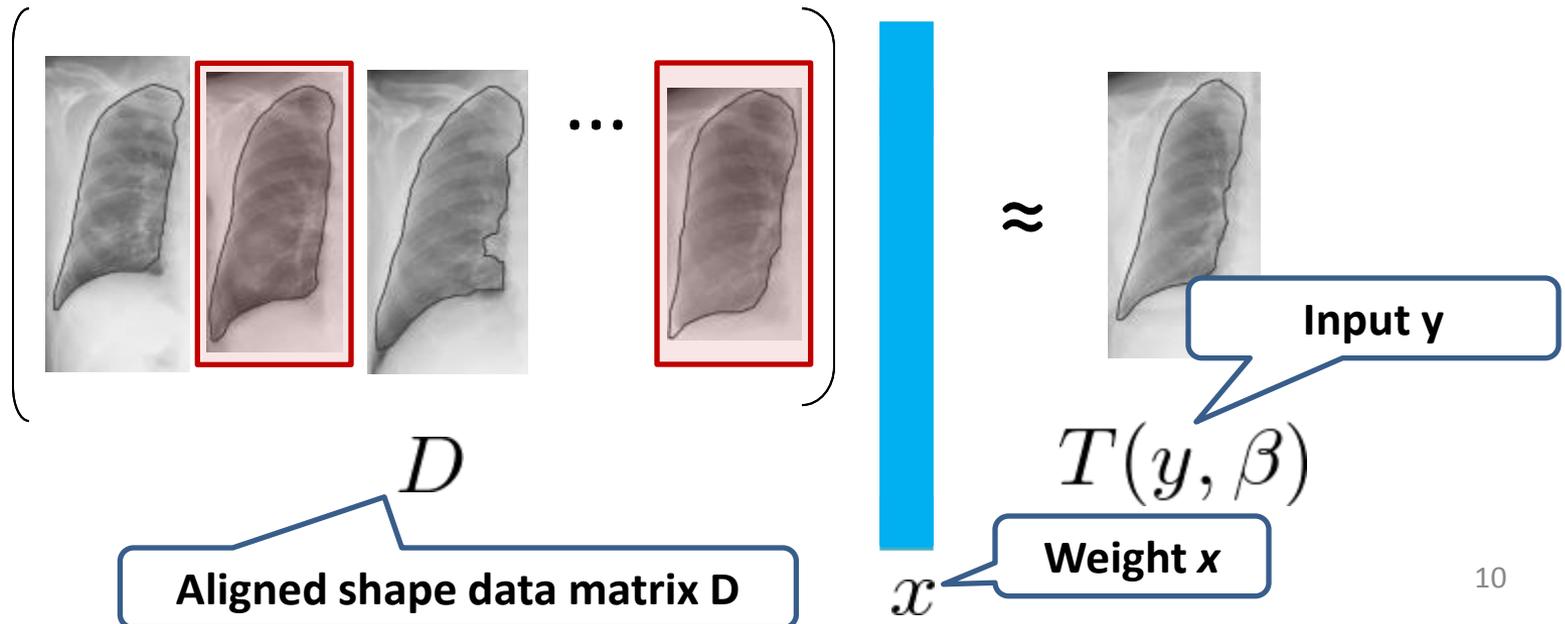


# Methods

## Shape prior using sparse shape representation

- Formulation:

- $Min_{\{x, \beta\}} \|T(y, \beta) - Dx\|_2$



# Methods

## Shape prior using sparse shape representation

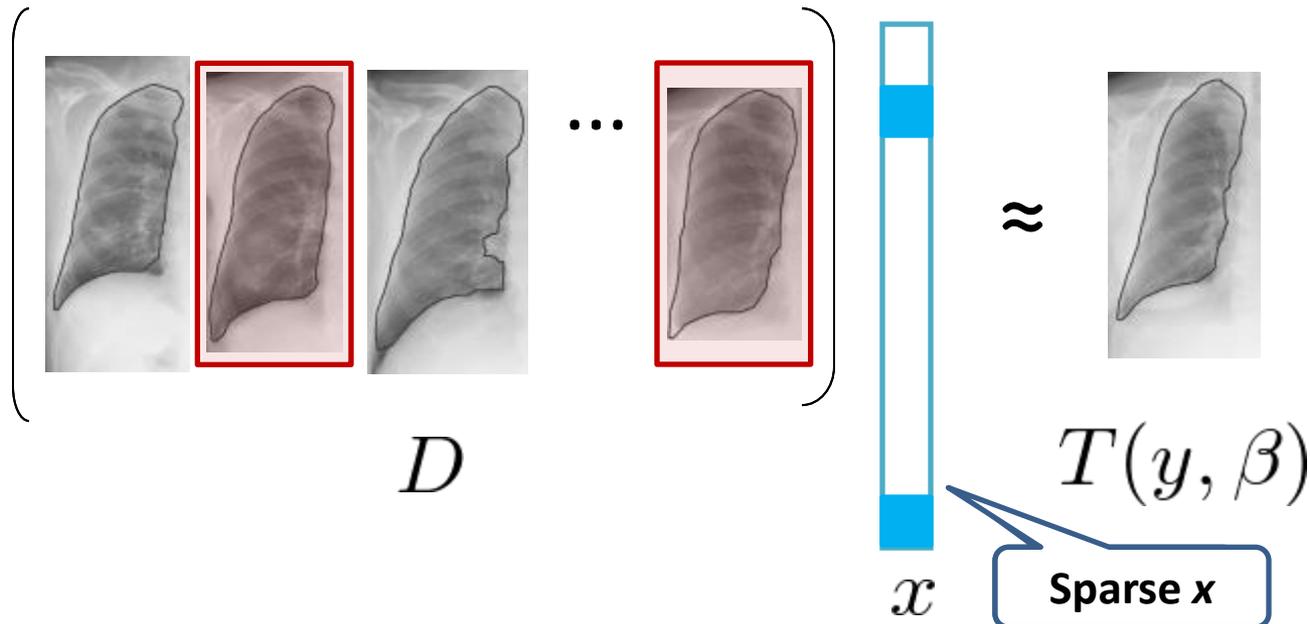
- Formulation:

- $Min_{\{x, \beta\}} \|T(y, \beta) - Dx\|_2$

- Sparse linear combination:

- $Min_{\{x, \beta\}} \|T(y, \beta) - Dx\|_2, s.t. \|x\|_0 < k_1$

Number of nonzero elements

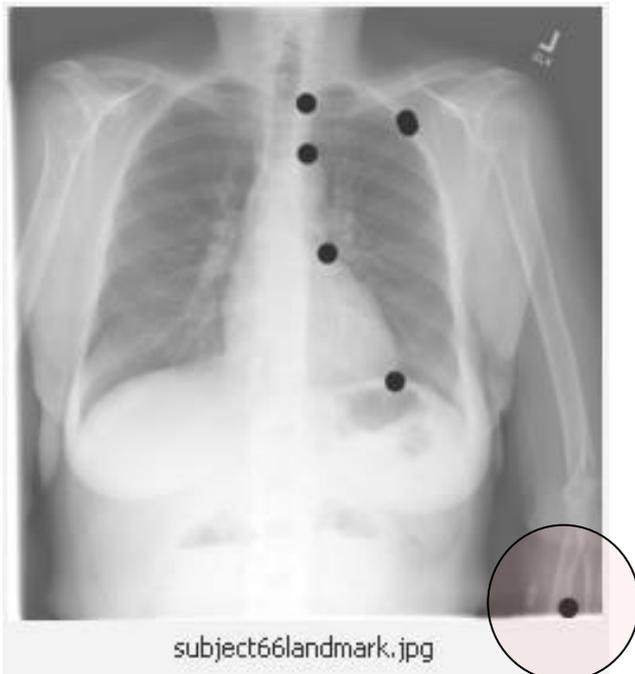


# Methods

## Shape prior using sparse shape representation

- Non-Gaussian errors:

- $Min_{\{x,e,\beta\}} \|T(y, \beta) - Dx - e\|_2, s.t. \|x\|_0 < k_1, \|e\|_0 < k_2$



# Methods

## Shape prior using sparse shape representation

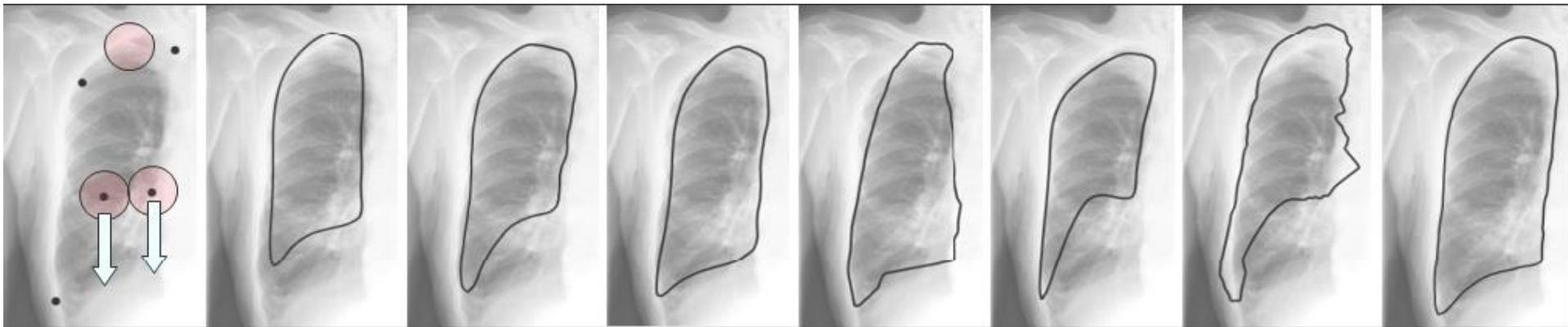
- Why it works?
  - Robust: Explicitly modeling “e” with L0 norm constraint. Thus it can detect gross (sparse) errors, i.e., non-Gaussian
  - General: No assumption of any parametric distribution model (e.g., a unimodal distribution assumption in ASM). Thus it can model large shape variations.
  - Lossless: It uses all training shapes. Thus it is able to recover detail information even if the detail is not statistically significant in training data.

# Applications – Part I

## 2D lung localization in X-ray

(Lung computer-aided diagnosis system, Siemens)

- Handling gross errors

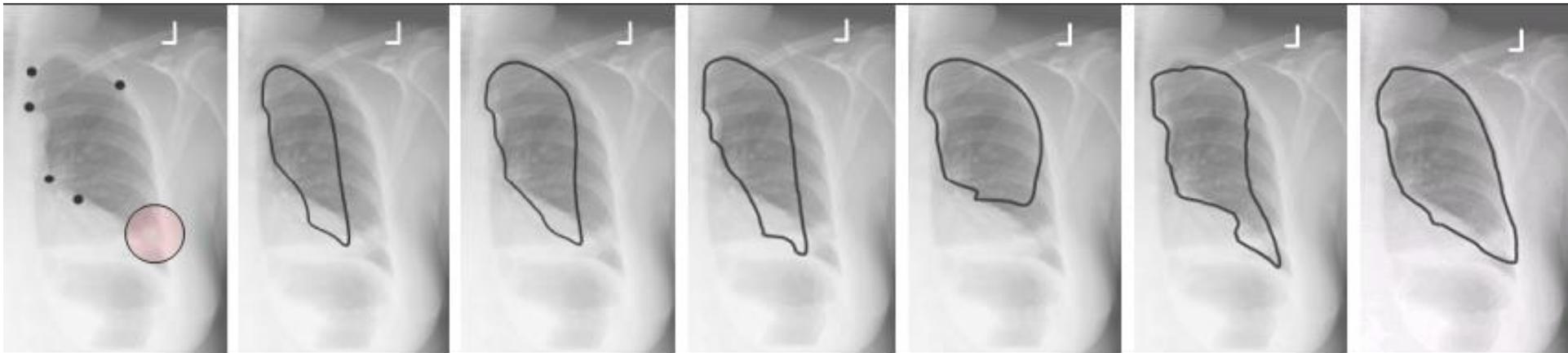


Detection	PA	ASM	RASM	NN	TPS	Sparse1	Sparse2
<b>Sensitivity</b>	62	66	81	81	59	63	<b>87</b>
<b>Specificity</b>	99	99	99	99	99	98	<b>99</b>
<b>Dice SC</b>	76	78	88	87	74	71	<b>91</b>

# Applications – Part I

## 2D lung localization in X-ray

- Multimodal shape distribution

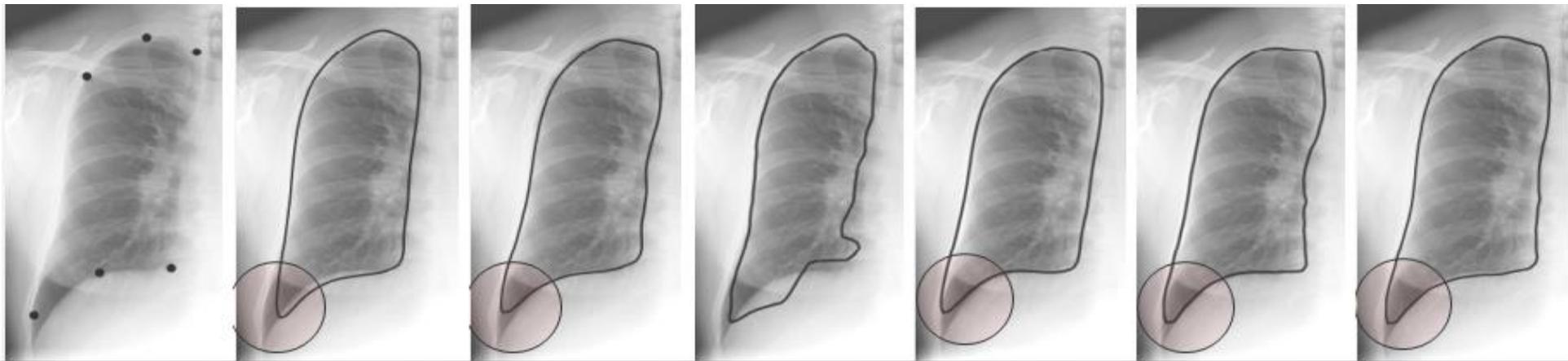


	Detection	PA	ASM/RASM	NN	TPS	Sparse1	Sparse2
<b>Sensitivity</b>		50	61	63	75	73	<b>92</b>
<b>Specificity</b>		99	99	98	99	99	<b>99</b>
<b>Dice SC</b>		64	72	73	79	79	<b>91</b>

# Applications – Part I

## 2D lung localization in X-ray

- Recover local detail information

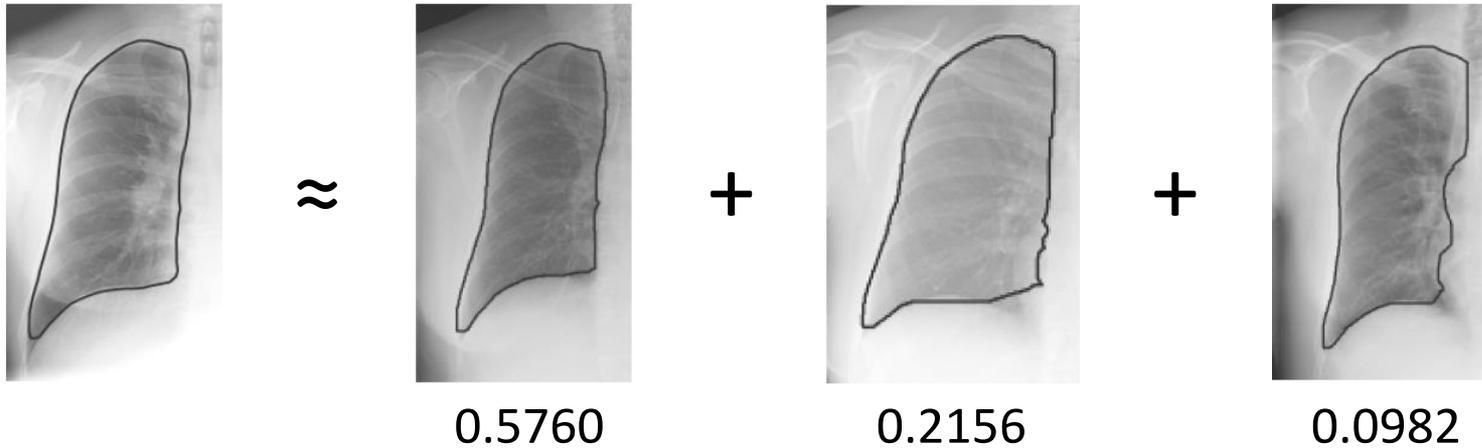


Detection	PA	ASM/RASM	NN	TPS	Sparse1	Sparse2
<b>Sensitivity</b>	93	93	87	97	97	<b>98</b>
<b>Specificity</b>	99	99	99	98	99	<b>99</b>
<b>Dice SC</b>	94	95	90	94	96	<b>96</b>

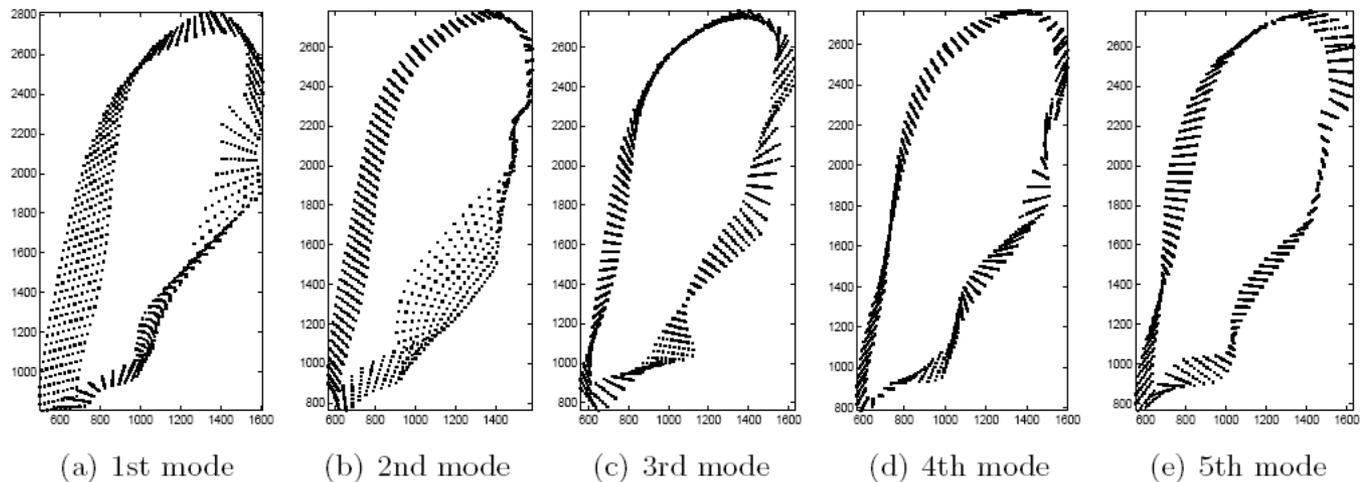
# Applications – Part I

## 2D lung localization in X-ray

- Sparse shape components



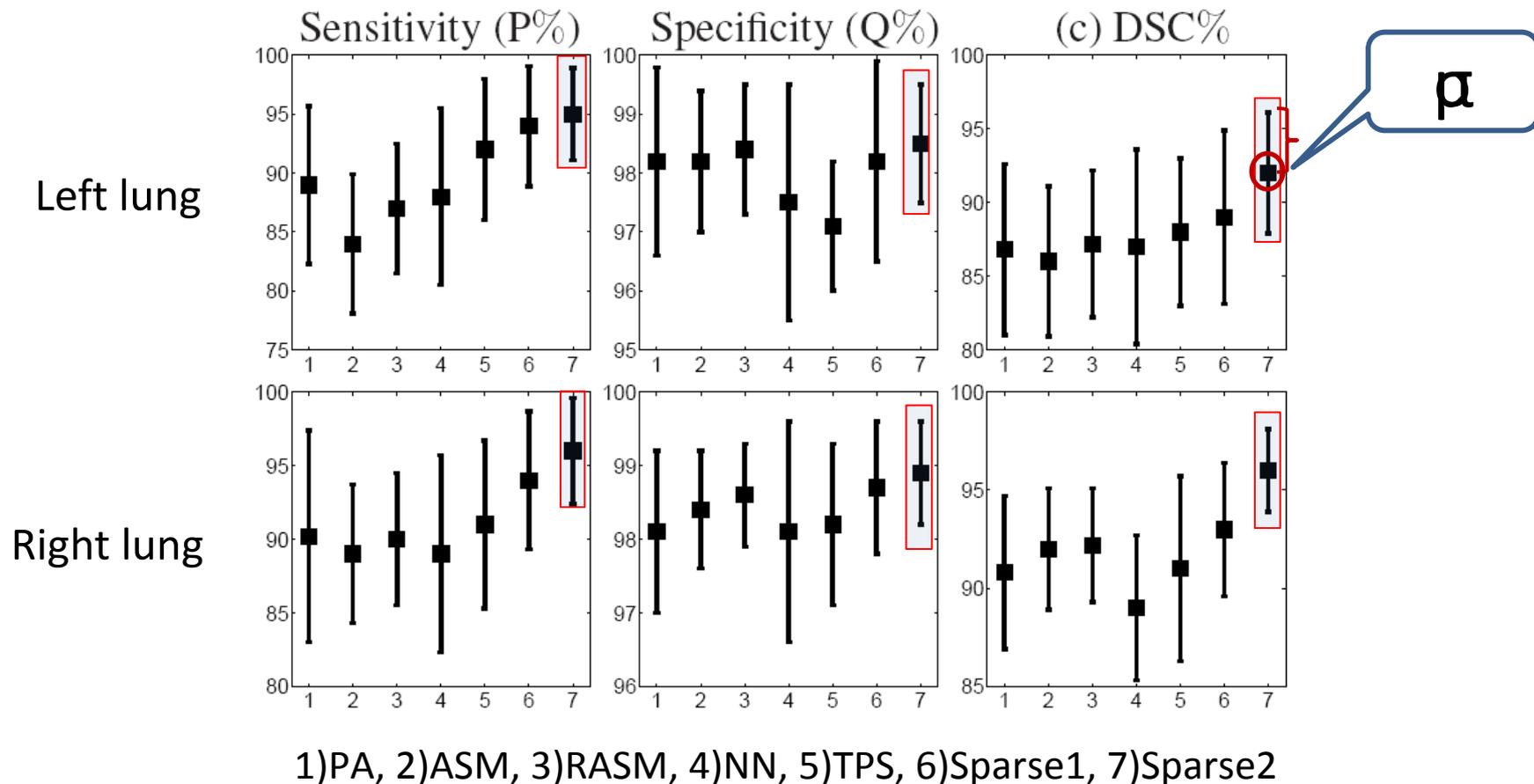
- ASM modes:



# Applications – Part I

## 2D lung localization in X-ray

- Mean values and standard deviations. ~1,000 cases.



# Applications – Part II

## 3D liver segmentation in low-dose CT

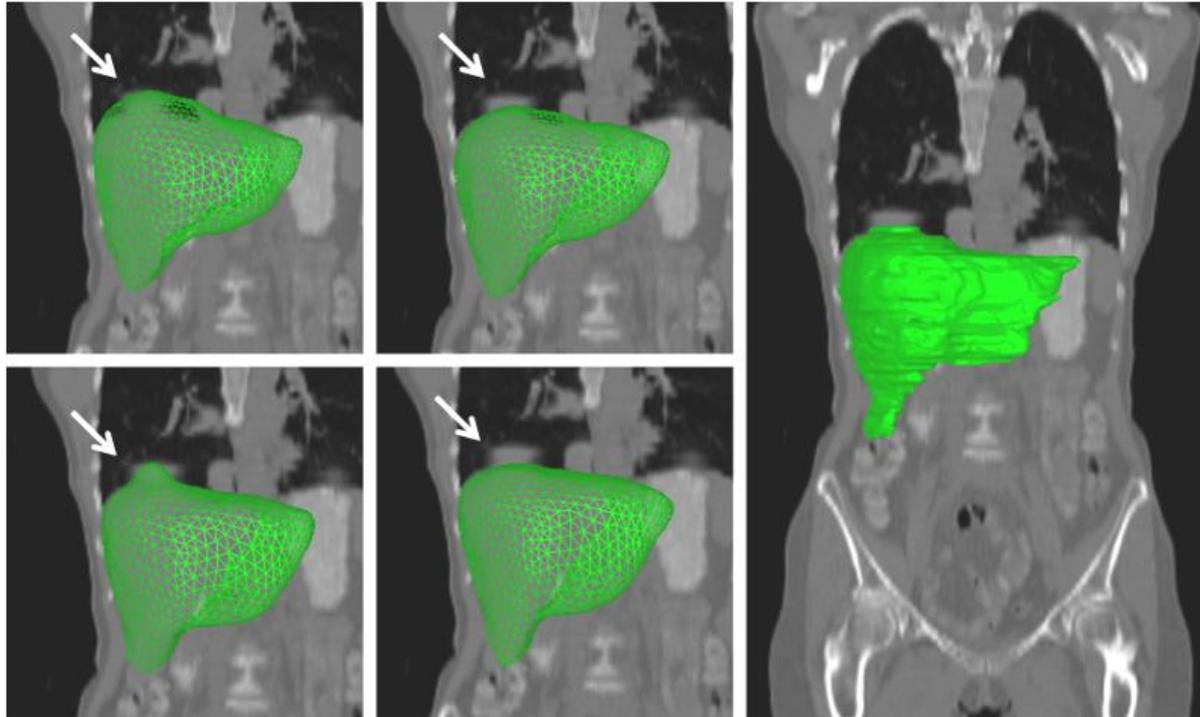
Same landmarks + different shape priors

Procrustes  
analysis

Sparse shape

Ground truth

Initialization



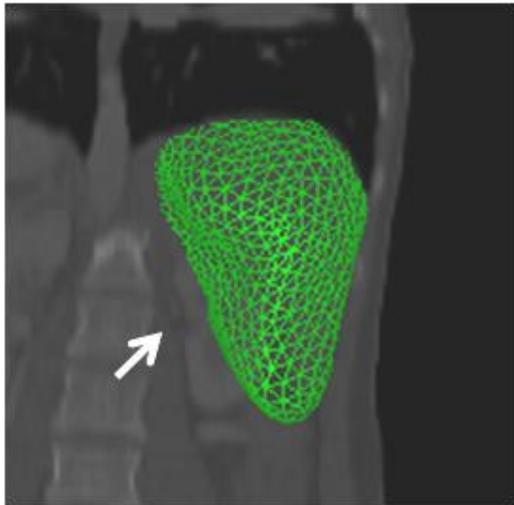
Deformation

Same deformation module

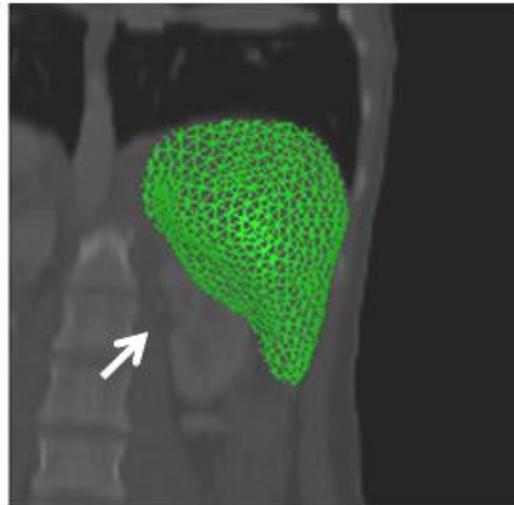
# Applications – Part II

## 3D liver segmentation in low-dose CT

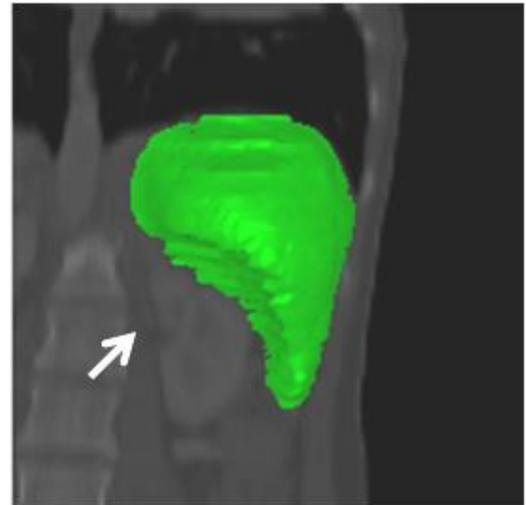
- Shape refinement during segmentation



ASM-type [Zhan'09]



Sparse shape



Ground truth

# Summary of Robust Segmentation

- Robustly handle abnormal cases, such as diseased cases (liver tumor). Critical to healthcare applications such as computational diagnosis systems.
- Patent with Siemens. Used in several clinical applications. Key contribution for our awarded NSF-MRI grant ('12-'16).
- Relevant publications:
  - First author papers (**S. Zhang**, Y. Zhan, J. Huang, D. Metaxas):
    - MICCAI 2012 and 2011 (MICCAI Young Scientist Award Finalist)
    - CVPR 2011
    - Medical Image Analysis (Top 25 hottest articles in 2012)
  - Second author paper
    - Medical Physics 2013 (with my co-mentored student, G. Wang)
    - ISBI 2013, oral (with my co-mentored student, Z. Yan)

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